NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

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1. Floor Broker Fixed Cost Prepayment Incentive Program (the “FB Prepay Program”)

The FB Prepay Program affords each Floor Broker organization the opportunity to prepay its annual “Eligible Fixed Costs” (set forth in the table below) for 2019, and to receive a 10% discount on all such Eligible Fixed Costs.

2. Floor Broker Volume Rebate Program (the “FB Volume Rebate”)

The FB Volume Rebate affords each Floor Broker organization the opportunity to qualify for a $5,000 rebate each month that the Floor Broker increases contract sides in billable manual ADV by at least 50% over the greater of:

(i) 20,000 contract sides in billable manual ADV; or
(ii) The Floor Broker’s total billable manual ADV in contract sides during the second half of 2018 - i.e. July through December 2018.

The calculation of billable manual ADV for purposes of determining the FB Volume Rebate excludes Customer volume, Firm Facilitation trades, QCCs, and any volume included in the calculation to achieve the Firm Monthly Fee Cap and the Strategy Execution Fee Cap, regardless of whether either of these caps is achieved.

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