

Additions underlined
Deletions [bracketed]

NYSE AMERICAN RULES

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Trading of Option Contracts

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Section 900 NY. Rules Principally Applicable to Trading of Option Contracts

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Rule 971.1 NY. Electronic Cross Transactions

(a) An ATP Holder ("Initiating Participant") may electronically submit for execution into the Customer Best Execution Auction ("CUBE Auction" or "Auction") a limit order it represents as agent on behalf of a public customer, broker dealer, or any other entity ("CUBE Order") provided that the Initiating Participant guarantees the execution of the CUBE Order by submitting a contra-side order ("Contra Order") representing principal interest or interest it has solicited to trade with the CUBE Order at a specified price ("stop price") or by utilizing auto-match or auto-match limit features as described in paragraphs (c)(1)(B) and (c)(1)(C) of this Rule. The stop price and any use of auto-match or auto-match limit are not displayed. An Auction begins with an "initiating price," which for a CUBE Order to buy (sell) shall be the lower (higher) of the CUBE Order's limit price or the National Best Offer ("NBO") (National Best Bid ("NBB")), except as provided for in paragraph (b)(1)(B) of this Rule. At the conclusion of the Auction, the CUBE Order may execute at multiple prices within a permissible range, as specified in paragraph (b)(1) of this Rule.

(b) No change.

(c) Auction Process. Only one Auction may be conducted at a time in any given series. Once commenced, the CUBE Order (as well as the Contra Order) may not be cancelled or modified, and shall proceed as follows:

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(2) *CUBE Auction Request for Responses ("RFR") and Response Time Interval*

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(A) No change.

(B) The “Response Time Interval” means the period of time during which responses to the RFR may be entered, which The Response Time Interval will last for a random period of time within parameters determined by the Exchange and announced by Trader Update[between 500 and 750 milliseconds]. The minimum/maximum parameters for the Response Time Interval will be no less than 100 milliseconds and no more than one (1) second.

(C) No change.

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