

Additions: Underscored

Deleted: [Bracketed]

NYSE American Rules

RULE 5E - SECURITIES TRADED

Rule 5.2E

(j) Exchange Traded Products

(4) Index-Linked Exchangeable Notes

- (a) Both the issue and the issuer of such security initially meet and continuously maintain the criteria set forth in NYSE Arca [Equities]Rule 5.2-E(j)(1) (Other Securities), except that the minimum public distribution will be 150,000 notes with a minimum of 400 public note-holders, except, if traded in thousand dollar denominations no minimum public distribution and no minimum number of holders.
- (b) The issue has a minimum term of one year.
- (c) On an initial and continued listing basis, the issuer will be expected to have a minimum tangible net worth in excess of \$250,000,000, and to otherwise substantially exceed the earnings requirements set forth in NYSE Arca [Equities]Rule 5.2-E(j)(1). In the alternative, the issuer will be expected: (i) to have a minimum tangible net worth of \$150,000,000 and to otherwise substantially exceed the earnings requirements set forth in NYSE Arca [Equities]Rule 5.2-E(j)(1); and (ii) not to have issued index-linked exchangeable notes where the original issue price of all the issuer's other index-linked exchangeable note offerings (combined with other index-linked exchangeable note offerings

of the issuer's affiliates) listed on a national securities exchange or traded through the facilities of Nasdaq exceeds 25% of the issuer's net worth.

(6) Equity Index-Linked Securities, Commodity-Linked Securities, Currency-Linked Securities, Fixed Income Index-Linked Securities, Futures-Linked Securities and Multifactor Index-Linked Securities

(A) Requirements Common to All Index-Linked Securities

- (a) Both the issue and the issuer of such security initially meet and continuously maintain the criteria set forth in NYSE Arca [Equities]Rule 5.2-E(j)(1) except that: (i) if the Index-Linked Securities are redeemable at the option of the holders thereof on at least a weekly basis, then no minimum number of publicly held trading units, and a minimum principal amount/market value outstanding of at least \$4 million, and if the Index-Linked Securities are traded in thousand dollar denominations or are redeemable at the option of the holders thereof on at least a weekly basis, then no minimum number of holders.

RULE 6E - BUSINESS CONDUCT

Rule 6.3E. Prevention of the Misuse of Material, Nonpublic Information

Commentary:

.04 ETP Holders acting as a registered Market Maker in products listed under NYSE Arca [Equities]Rules 5-E and 8-E, and their affiliates, shall also establish, maintain and enforce written policies and procedures reasonably designed to prevent the misuse of any material nonpublic information with respect to such products, any components of the related products, any physical asset or commodity underlying the product, applicable currencies, underlying indexes, related futures or options on futures, and any related derivative instruments.

Rule 7.37E. Order Execution and Ranking

(d) *Use of Data Feeds.* The Exchange uses the following data feeds for the handling, execution, and routing of orders, as well as for regulatory compliance:

Market Center	Primary Source	Secondary Source
***** <u>NYSE</u> National [Stock Exchange], [LLC] <u>Inc.</u>	SIP Data Feed	n/a