

Additions underscored  
 Deletions [bracketed]

**New York Stock Exchange  
 Price List 2019**

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**Last Updated: [March 19]April 1, 2019**

**Transaction Fees\***

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges  
 (Tapes B and C) on the Pillar Trading Platform

Fees and Credits applicable to Market Participants

\* \* \* \* \*

<b>SLP Provide Tiers<sup>+</sup></b> For Securities at or above \$1.00				
	<b>Non Tier</b>	<b>Tier 2</b>	<b>Tier 1</b>	<b>Tape A Tier</b>
Per-Tape Requirement (SLP Provide % Tape CADV) unless otherwise indicated	Not Applicable	0.03% per tape and quotes, calculated monthly, in excess of the 10% average quoting requirement in 200 or more assigned securities in Tapes B and C combined <sup>**</sup> pursuant to Rule 107B	0.10% for Tape B and 0.075% for Tape C, and quotes, calculated monthly, in excess of the 10% average quoting requirement in 400 or more assigned securities in Tapes B and C combined <sup>**</sup> pursuant to Rule 107B	SLP Tier 1 in both Tape B and C or quotes, calculated monthly, in excess of the 10% average quoting requirement in 300 or more assigned securities separately in Tapes B and Tape C combined <sup>**</sup> pursuant to Rule 107B
SLP Display Provide Rate	(\$0.0026) per share per tape in assigned securities w here	(\$0.0029) per share per tape in assigned	(\$0.003[2]1) per share per tape in assigned securities w here the SLP	(\$0.00005) per share in addition to the Tape A SLP

<p>SLP Non-Display Provide Rate</p>	<p>the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p> <p>(\$0.0008) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p> <p>(\$0.0011) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>meets the 10% average quoting requirement pursuant to Rule 107B</p> <p>(\$0.0014) per share per tape, (\$0.0025 if MPL order) in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>credit in Tape A assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p> <p>Not Applicable</p>
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+ Affiliated member organizations that are SLPs are eligible for the most favorable rate for any such security traded in an applicable month provided that one or both affiliated member organizations request and are approved for aggregation of eligible activity pursuant to the requirements set forth in this Price List.

++ Includes shares and assigned securities of both an SLP-Prop and an SLMM of the same or an affiliated member organization. Individual securities quoted by both an SLP-Prop and an SLMM are only counted once.

**Routing Fees:**

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