Pillar Platform Rules (1P - 13P)

Rule 7P EQUITIES TRADING

Section 1. General Provisions

Rule 7.10. Clearly Erroneous Executions

The provisions of paragraphs (c), (e)(2), (f), and (g) of this Rule and the provisions of paragraphs (i) through (k), will be in effect during a pilot period [to coincide with the pilot period for the Limit Up-Limit Down Plan, including any extensions to the pilot period for the Plan] that expires at the close of business on October 18, 2019. If the [Plan] pilot period is not either extended or approved as permanent, the prior versions of sections (c), (e)(2), (f), and (g) of Rule 128 will be in effect for UTP Securities, and the provisions of paragraphs (i) through (k) will be null and void.

Rule 128. Clearly Erroneous Executions for NYSE Equities

This Rule is not applicable to trading UTP Securities on the Pillar trading platform.

The provisions of paragraphs (c), (e)(2), (f), and (g) of this Rule, as amended on September 10, 2010, and the provisions of paragraphs (i) through (k), shall be in effect during a pilot period [to coincide with the pilot period for the Limit Up-Limit Down Plan, including any extensions to the pilot period for the Plan] that expires at the close of business on October 18, 2019. If the [Plan] pilot period is not either extended or approved as permanent, the prior versions of sections (c), (e)(2), (f), and (g) shall be in effect, and the provisions of paragraphs (i) through (k) shall be null and void.