New York Stock Exchange
Price List 2019

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Transaction Fees

Regular Session Trading

Transactions in stocks with a per share stock price of $1.00 or more

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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform

Fees and Credits applicable to Market Participants

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<table>
<thead>
<tr>
<th>SLP Provide Tiers²</th>
<th>Non Tier</th>
<th>Tier 2</th>
<th>Tier 1</th>
<th>Tape A Tier</th>
</tr>
</thead>
<tbody>
<tr>
<td>For Securities at or above $1.00</td>
<td>Not Applicable</td>
<td>0.03% per tape and quotes, [on an average daily basis,] calculated monthly, in excess of the 10% average quoting requirement in 200 or more assigned securities in Tapes B and C combined** pursuant to Rule 107B</td>
<td>0.10% for Tape B and 0.075% for Tape C, and quotes, [on an average daily basis,] calculated monthly, in excess of the 10% average quoting requirement in 400 or more assigned securities in Tapes B and C combined** pursuant to Rule 107B</td>
<td></td>
</tr>
<tr>
<td>Per-Tape Requirement (SLP Provide % Tape CADV) unless otherwise indicated</td>
<td>($0.0026) per share in assigned securities where the SLP meets the 10%</td>
<td>(0.0029) per share in assigned securities where the SLP meets the 10%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SLP Display Provide</td>
<td>($0.0005) per share in addition to the Tape A SLP</td>
<td>($0.0032) per share in assigned securities where the SLP meets the 10%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

² SLP Provide Tiers: For Securities at or above $1.00

** Tier 2: 0.03% per tape and quotes, [on an average daily basis,] calculated monthly, in excess of the 10% average quoting requirement in 200 or more assigned securities in Tapes B and C combined** pursuant to Rule 107B

** Tier 1: 0.10% for Tape B and 0.075% for Tape C, and quotes, [on an average daily basis,] calculated monthly, in excess of the 10% average quoting requirement in 400 or more assigned securities in Tapes B and C combined** pursuant to Rule 107B

** Tape A Tier: SLP Tier 1 in both Tape B and C or quotes, [on an average daily basis,] calculated monthly, in excess of the 10% average quoting requirement in 300 or more assigned securities separately in Tapes B and Tape C combined** pursuant to Rule 107B

($0.0005) per share in addition to the Tape A SLP
<table>
<thead>
<tr>
<th>Rate</th>
<th>SLP Non-Display Provide Rate</th>
<th>average quoting requirement pursuant to Rule 107B</th>
<th>SLP meets the 10% average quoting requirement pursuant to Rule 107B</th>
<th>credit in Tape A assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</th>
<th>Not Applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$0.0008 per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</td>
<td>($0.0011) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</td>
<td>($0.0014) per share per tape, ($0.0025 if MPL order) in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

+ Affiliated member organizations that are SLPs are eligible for the most favorable rate for any such security traded in an applicable month provided that one or both affiliated member organizations request and are approved for aggregation of eligible activity pursuant to the requirements set forth in this Price List when (1) at least one affiliate satisfies the quoting requirements for SLPs in assigned securities, and (2) the combined SLPs’ aggregate volumes satisfy the adding liquidity volume requirements for SLP tiered rates (i.e., SLP Provide Tier 2 and SLP Provide Tier 1).

++ Includes shares and assigned securities of both an SLP-Prop and an SLMM of the same or an affiliated member organization. Individual securities quoted by both an SLP-Prop and an SLMM are only counted once.

**Routing Fees:**

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