New York Stock Exchange
Price List 2019

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Last Updated: [January 2] February 1, 2019

Transaction Fees*

Regular Session Trading

Transactions in stocks with a per share stock price of $1.00 or more

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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

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Credit per Share – per transaction – for affiliated SLPs—when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of $1.00 or more, if an SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization) of an ADV4 of more than 0.60% of NYSE CADV (for SLPs that are also DMMs and subject to Rule 107B(i)(2)(A), more than 0.60% after a discount of the percentage for the prior quarter of NYSE CADV in DMM assigned securities as of the last business day of the prior month 8 (“SLP Tier 1A”)

SLPs that (1) meet the above requirements and (2) add liquidity in securities traded pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform of at least 0.30% of Tape B and Tape C CADV combined, will receive an additional $0.0001 per share in securities with a per share price of $1.00 that meet the 10% average or more

$0.00275; or $0.00105
quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated).

| Credit per Share – per transaction – for affiliated SLPs when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of $1.00 or more, if an SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization) of an ADV of more than 0.90% (or 0.75% for SLPs that meet the SLP Cross Tape Tier 1 Incentive) of NYSE CADV (for SLPs that are also DMMs and subject to Rule 107B(i)(2)(A), more than 0.90% (or 0.75% for SLPs that are also DMMs and meet the SLP Cross Tape Tier 1 Incentive) after a discount of the percentage for the prior quarter of NYSE CADV in DMM assigned securities as of the last business day of the prior month) (“SLP Tier 1”)

SLP Cross Tape Tier 1 Incentive -- SLPs that meet the SLP Provide Tier 1 requirements (including SLPs that are DMMs) in securities traded pursuant to Unlisted Trading Privileges in both Tapes B and C on the Pillar Trading Platform are eligible for a lower adding liquidity requirement of 0.75% for SLP Tier 1.

SLPs that (1) meet the above requirements and (2) add liquidity in securities traded pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform of at least 0.30% of Tape B and Tape C CADV combined, will receive an additional $0.00005 per share in securities with a per share price of $1.00 that meet the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated). In addition, SLPs will receive an additional $0.00005 per share for adding liquidity, other than MPL and Non-Display Reserve orders, in securities where they are not assigned as an SLP or do not meet the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B. $0.0029; or $0.0012 if a Non-Displayed Reserve Order

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No changes are proposed to the text of footnotes *, 1, +, 4, and 8 of the current Price List.