Rule 107C. Retail Liquidity Program

This Rule is not applicable to trading UTP Securities on the Pillar trading platform.

(a) - (l) No Change.

(m) Rule Pilot Program. This rule shall operate for a pilot period set to expire [on June 30,] the earlier of approval of the filing to make this rule permanent or December 31, 2018. During the pilot period, the Program will be limited to trades occurring at prices equal to or greater than $1.00 per share.

*****