Additions underscored
Deletions [bracketed]

New York Stock Exchange
Price List 2018

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Last Updated: April [17]20, 2018

Transaction Fees

Regular Session Trading

Transactions in stocks with a per share stock price of $1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Executions at the Close:

For d-Quote, Floor broker executions swept into the close, excluding verbal interest, and executions at the close, excluding MOC Orders, LOC Orders and CO Orders.

The first 750,000 ADV of the aggregate of executions at the close by a member organization…….No Charge

After the first 750,000 ADV of the aggregate of executions at the close by a member organization

• d-Quotes last modified by the member organization earlier than 25 minutes before the scheduled close of trading…..$0.0003

• d-Quotes last modified from 25
minutes up to but not including 3 minutes before the scheduled close of trading...

- d-Quotes last modified\(^{10}\) in the last 3 minutes before the scheduled close of trading...
  - $0.0008 (Firms in MOC/LOC Tiers 1 and 2)...
  - $0.0010 (All other firms)

- Orders from continuous trading swept into the close...
  - $0.0007

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\(^{10}\) As used herein, “last modified” means the later of the order’s entry time or the final modification or cancellation time for any d-Quote order designated for the close with the same broker badge, entering firm mnemonic, symbol, and side.

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* No changes are proposed to the text of current footnotes *, 1, 4 and 9 of the current Price List.