

Additions underscored  
Deletions [bracketed]

**New York Stock Exchange  
Price List 2018**

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**Last Updated: April [9]17, 2018**

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**Crossing Sessions I and II**

Crossing Session I – No charge

Crossing Session II – Equity per Share (both sides) .... \$0.0004 (\$200,000 cap per month per member organization)

**Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform**

**Fees and Credits applicable to Market Participants**

- Rebates indicated by parentheses ( ).
- For purposes of determining transaction fees and credits in UTP Securities based on quoting levels, average daily volume (“ADV”) and consolidated ADV (“CADV”) below, the Exchange may exclude shares traded any day that (1) the Exchange is not open for the entire trading day and/or (2) a disruption affects an Exchange system that lasts for more than 60 minutes during regular trading hours.

<u>Category</u>	<u>Adding Liquidity</u>	<u>Removing Liquidity</u>
<u>Securities at or above \$1.00</u>	<u>(\$0.0020) per share (displayed) unless a credit below applies</u>	<u>\$0.0030 per share unless, including MPL orders, unless the Floor broker fee or Remove Tier fee below applies</u>
	<u>No charge (non-displayed)</u>	
	<u>(\$0.0010) per share in each tape (MPL) unless a credit below applies</u>	<u>\$0.0026 per share (Floor brokers)</u>
	<u>(\$0.0026) per share (displayed) (Floor brokers)</u>	

**Category**

**Adding Liquidity**

**Removing Liquidity**

Cross trades (i.e., a trade where a Floor broker executes customer orders to buy and sell an equivalent amount of the same security) – (\$0.0006)

Securities below \$1.00

No Charge

0.3% of the total dollar value of the transaction

<b><u>Adding Tiers For Securities At or Above \$1.00</u></b>		
<p><u>Per-Tape Requirement (Client Adding % Tape CADV)</u></p> <p><u>Per-Tape Client Display Adding Rate</u></p>	<p><b><u>Tier 2</u></b></p> <p><u>0.01% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</u></p> <p><u>(\$0.0023) per share</u></p>	<p><b><u>Tier 1*</u></b></p> <p><u>0.05% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</u></p> <p><u>(\$0.0026) per share, \$(0.0025) if MPL order, on a per Tape basis</u></p>
<b><u>Remove Tier For Securities At or Above \$1.00*</u></b>		
<p><u>Per-Tape Requirement (Client Adding ADV<sup>4</sup>)</u></p>		<p><u>At least 50,000 shares Adding ADV<sup>4</sup>: \$0.0028 per share for removing per Tape</u></p>

\* Tier 1 add and remove tier requirements are waived until June 1, 2018



<u>SLP Non-Display Provide Rate</u>	<u>(\$0.0008) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</u>	<u>(\$0.0011) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</u>	<u>(\$0.0014) per share per tape, (\$0.0025 if MPL order) in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</u>	<u>Not Applicable</u>
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\*\* The provide volume component of the SLP Tier requirements are waived until June 1, 2018

**Routing Fees:**

- For securities at or above \$1.00, \$0.0005 per share in a NYSE American Auction; \$0.0010 per share execution in an Away Market Auction at venues other than NYSE American; \$0.0030 per share for all other executions.
- For securities below \$1.00, 0.30% of total dollar value of the transaction.

**NYSE BONDS<sup>SM</sup> SYSTEM**

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\* No changes are proposed to the text of footnotes 1 and 4 of the current Price List.