

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2017**

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Last Updated: [September 1]September 7, 2017

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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[Non-electronic agency transactions of Floor brokers that execute at the close..... No Charge

At the opening or at the opening only orders –]Executions at the Open Equity per share Charge² – per transaction ([credited to]both sides)..... \$0.0010

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Executions at the close (except market at-the-close (“MOC”) and limit at-the-close (“LOC”) orders) and Floor Broker executions swept into the close unless the charge set forth immediately below applies..... No Charge

Verbal interest at the close (per share) \$0.0010

Equity per Share Charge³ - per transaction (charged to both sides) – if a member organization executes an ADV⁴ on the NYSE during the billing month in excess of 750,000 shares in (i) executions at the close (except MOC and LOC orders), and/or (ii) Floor broker executions swept into the close, excluding Verbal Interest, charge applicable to shares executed in excess of 750,000 ADV (no charge applicable to shares executed below 750,000 ADV)..... \$0.0005

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Equity per Share Credit – per transaction – for all MPL orders, other than MPL orders from Designated Market Makers (“DMMs”)[and Supplemental Liquidity Providers (“SLPs”)], that add liquidity to the NYSE (Adding Tier Credits do not apply)

Unless the higher credit set forth immediately below applies..... \$0.00100

If the member organization has Adding ADV in MPL orders that is at least 0.030% of NYSE CADV, excluding any liquidity added by a DMM..... \$0.00250

If the member organization has Adding ADV in MPL orders that is at least 0.140% of NYSE CADV, excluding any liquidity added by a DMM..... \$0.00275

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Fees and Credits applicable to Designated Market Makers (“DMMs”)

Rebate per Share^o – per transaction – for all MPL orders in securities with a per share price of \$1.00 or more that add liquidity to the NYSE..... \$0.00[30]275

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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

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[MPL Adding Credit - Equity per Share Credit – per transaction – for MPL orders that add liquidity to the NYSE:

Unless the higher credit set forth immediately below applies \$0.00100

If the member organization has Adding ADV in MPL orders that is at least 0.030% of NYSE CADV, excluding any liquidity added by a DMM..... \$0.00250

If the Member Organization has Adding ADV in MPL orders that is at least 0.140%, excluding any liquidity added by a DMM \$0.00275]

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* No changes are proposed to the text of current footnotes *, 1, 2, 3 and 6 of the current Price List.