

Additions underscored
Deletions [bracketed]

**New York Stock Exchange
Price List 201[6]7**

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Last Updated: [October 18]January 3, 201[6]7

Transaction Fees*

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Fees and Credits applicable to Designated Market Makers (“DMMs”)

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Rebate Per Share⁶ when adding liquidity with orders, other than MPL orders, in active securities (i.e., securities with an average daily consolidated volume (“Security CADV”) in the previous month equal to or greater than 1,000,000 (shares per month) (“More Active Securities”)) if the More Active Security has a stock price of \$1.00 or more and the DMM quotes at the National Best Bid or Offer (“NBBO”) in the applicable security at least 10% of the time in the applicable month (“More Active Securities Quoting Requirement”) and has a DMM Quoted Size for an applicable month that is at least 5% of the NYSE Quoted Size,⁷ unless the more favorable rates set forth below apply..... \$0.0027

Rebate Per Share⁶ when adding liquidity with orders, other than MPL orders, in a More Active Security if the More Active Security has a stock price of \$1.00 or more and the DMM meets the More Active Securities Quoting Requirement and:

- (i) has a DMM Quoted Size for an applicable month that is at least 10% of the NYSE Quoted Size⁷ and the DMM quotes at the NBBO in the applicable security at least 20% of the time in the applicable month, and had providing liquidity that is more than 5% of the NYSE’s total intraday adding liquidity in each such security for that month. The NYSE total intraday adding liquidity will be totaled monthly and includes all NYSE adding liquidity, excluding NYSE open and NYSE close volume, by all NYSE participants, including Supplemental Liquidity Providers, customers, Floor brokers, and DMMs. \$0.0031

(ii) has a DMM Quoted Size for an applicable month that is at least 15% of the NYSE Quoted Size,⁷ for providing liquidity that is more than 15% of the NYSE’s total intraday adding liquidity in each such security for that month and the DMM quotes at the NBBO in the applicable security at least 30% of the time in the applicable month. The NYSE total intraday adding liquidity will be totaled monthly and includes all NYSE adding liquidity, excluding NYSE open and NYSE close volume, by all NYSE participants, including Supplemental Liquidity Providers, customers, Floor brokers, and DMMs \$0.0034

(iii) has a DMM Quoted Size for an applicable month that is at least 25% of the NYSE Quoted Size,⁷ for providing liquidity that is more than 15% of the NYSE’s total intraday adding liquidity in each such security for that month and the DMM quotes at the NBBO in the applicable security at least 50% of the time in the applicable month. The NYSE total intraday adding liquidity will be totaled monthly and includes all NYSE adding liquidity, excluding NYSE open and NYSE close volume, by all NYSE participants, including Supplemental Liquidity Providers, customers, Floor brokers, and DMMs. \$0.0035

Rebate Per Share⁶ when adding liquidity with orders, other than MPL orders, in More Active Securities if the More Active Security has a stock price of \$1.00 or more and the DMM does not meet the More Active Securities Quoting Requirement in the applicable security in the applicable month \$0.0015

Rebate per Share⁶ when adding liquidity with orders, other than MPL orders, in securities that have a Security CADV of less than 1,000,000 shares per month in the previous month (“Less Active Securities”) if the Less Active Security has a stock price of \$1.00 or more and the DMM quotes at the NBBO in the applicable security at least 15% of the time in the applicable month (the “Less Active Securities Quoting Requirement”) \$0.0035

Rebate per Share⁶ when adding liquidity with orders, other than MPL orders, in Less Active Securities if the Less Active Security has a stock price of \$1.00 or more and the DMM quotes at the NBBO in the applicable security at least 30% of the time in the applicable month..... \$0.0045

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Equipment Fees

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Port Fees (not applicable to ports used for RMG, which are not charged)

Ports for order/quote entry.....	\$550 per port per month, except that DMMs shall not be charged for <u>the first 12 ports per month</u> that connect to the Exchange via the DMM Gateway, <u>and then \$550 per port per month thereafter</u>
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Ports for drop copies.....	\$550 per port per month (only one fee per drop copy port shall apply, even if receiving drop copies from multiple order/quote entry ports), except that DMMs shall not be charged for ports that connect to the Exchange via the DMM Gateway
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* No changes are proposed to the text of the footnotes *, 6 and 7 of the Price List