

Additions underlined  
 Deletions [bracketed]

## Rules of New York Stock Exchange LLC

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### Rule 13. Orders and Modifiers

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(f) Additional Order Instructions and Modifiers:

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(4) [Sell "Plus"—]Buy Minus["] Zero Plus Instruction

(A) [An order with an instruction to sell "plus" will not trade at a price that is lower than the last sale if the last sale was a "plus" or "zero plus" tick or that is lower than the last sale plus the minimum fractional change in the stock if the last sale was a "minus" or "zero minus" tick, subject to the limit price of an order, if applicable.

(B) ]An order with an instruction to [b]Buy ["m]Minus["] Zero Plus will not trade at a price that is higher than the last sale [if the last sale was a "minus" or "zero minus" tick or that is higher than the last sale minus the minimum fractional change in the stock if the last sale was a "plus" or "zero plus" tick], subject to the limit price of an order, if applicable.

[(C)B] [Sell "plus" and ] [b]Buy ["m]Minus["] Zero Plus instructions are available for Limit Orders only[, LOO Orders, LOC Orders, and MOC Orders]. Orders with a [b]Buy ["m]Minus["] Zero Plus [or sell "plus" ] instruction that are systemically delivered to Exchange systems will be eligible to be automatically executed in accordance with, and to the extent provided by, Rules 1000 - 1004, consistent with the order's instructions.

[(D)C] Odd-lot sized transactions shall not be considered the last sale for purposes of executing [sell "plus" or b]Buy ["m]Minus["] Zero Plus orders.

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### Rule 104. Dealings and Responsibilities of DMMs

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**(b) DMM Unit Algorithms**

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(vi) DMM units may not enter the following orders and modifiers: Market Orders, MOO Orders, CO Orders, MOC Orders, LOC Orders, or [Sell "Plus"—]Buy ["]Minus["] Zero Plus Instructions.

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**Rule 123C. The Closing Procedures**

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(4) Calculation of MOC and LOC Imbalances

(a) Calculation of Imbalances. MOC and LOC imbalances are calculated as follows:

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(vi) Buy or Sell Imbalance as determined pursuant to paragraph (4)(a)(v) above will be reduced by the volume of [tick sensitive MOC orders and LOC orders and ]LOC orders priced equal to the last sale to bring the imbalance quantity as close to zero as possible.[ The volume of tick sensitive MOC and LOC orders eligible to reduce the imbalance shall not cause the imbalance to change to the other side.]

(A) In the event of a Buy Imbalance, only [Sell Plus MOC orders, Sell Plus LOC orders priced equal to or below the last sale price, and ]Sell and Sell Short LOC orders priced equal to the last sale will be included to offset the imbalance. [Sell Plus MOC and Sell Plus LOC orders will be included to offset the imbalance only if such orders could be executed consistent with the terms of their tick restrictions.]

(B) In the event of a Sell Imbalance, only [Buy Minus MOC orders, Buy Minus LOC orders priced equal to or above the last sale price, and ]Buy LOC orders priced equal to the last sale will be included to offset the imbalance. [Buy Minus MOC and Buy Minus LOC orders will be included to offset the imbalance only if such orders could be executed consistent with the terms of their tick restrictions.]

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**Rule 107B. Supplemental Liquidity Providers**

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(g) Calculation of Quoting Requirement:

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(D) the "Monthly Average NBBO Quoting Percentage", is calculated for each assigned security by summing the security's "Average Daily NBBO Quoting Percentages" for each Trading Day in a calendar month then dividing the resulting sum by the total number of Trading Days in such calendar month.

(i) For purposes of calculating whether an SLP is in compliance with its 10% quoting requirement, the SLP must post displayed liquidity in round lots in its assigned securities at the NBB or the NBO.

(ii) An SLP may post non-displayed liquidity; however, such liquidity will not be counted as credit towards the 10% quoting requirement.

(iii) [Tick sensitive orders (i.e., "Sell Plus", "Buy Minus" (see Rule 13) and "]Buy Minus Zero Plus[")] orders will not be counted as credit towards the 10% quoting requirement.

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**Rule 1004. Election of Buy Minus Zero Plus[and Sell Plus] Orders**

Automatic executions of transactions reported to the Consolidated Tape shall elect [b]Buy [m]Minus Zero Plus [and sell plus ]orders electable at the price of such executions. Any [b]Buy [m]Minus Zero Plus [and sell plus ]orders so elected shall be automatically executed as [m]Market [o]Orders pursuant to Exchange rules.

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