

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2016**

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Last Updated: [June 7]July 1, 2016

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Verbal interest at the close (per share)..... \$0.0010

Equity per Share Charge³ - per transaction (charged to both sides) – if a member organization executes an ADV⁴ on the NYSE during the billing month in excess of 750,000 shares in (i) executions at the close (except MOC and LOC orders), and/or (ii) Floor broker executions swept into the close, excluding Verbal Interest, charge applicable to shares executed in excess of 750,000 ADV (no charge applicable to shares executed below 750,000 ADV)..... \$0.000[3]5

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders unless the lower charges set forth immediately below apply (“Non-Tier MOC/LOC”)..... \$0.001[0]1

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders from any Member Organization executing (i) an ADV⁴ of MOC/LOC activity on the NYSE in that month of at least 0.375% of consolidated average daily volume in NYSE-listed securities during the billing month (“NYSE CADV”); or (ii) an ADV of MOC/LOC activity on the NYSE in that month of at least 0.300% of NYSE CADV plus an ADV of total close (MOC/LOC and executions at the close) activity on the NYSE in that month of at least 0.475% of NYSE CADV (“MOC/LOC Tier 2”) \$0.000[70]8

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders from any Member Organization executing an ADV⁴ of MOC/LOC activity on the NYSE in that month of at least 0.575% of NYSE CADV (“MOC/LOC Tier 1”)..... \$0.000[60]7

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Credit per Share - for executions of orders , other than MPL orders, sent to the floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system unless the higher credits set forth immediately below apply \$0.0019; or the Tier 1 Adding Credit or Tier 2 Adding Credit for qualifying member organizations

Credit per Share - for executions of orders, other than MPL orders, sent to the Floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system if the Member Organization has an ADV⁴ that adds liquidity to the NYSE by the Floor broker during the billing month that is at least:

(a) [2,500,000 shares],07% of CADV..... \$0.0020

(b) [12,000,000 shares],33% of CADV \$0.0022

Closing Offset (“CO”) Orders No Charge

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Trading Licences

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Annual Fee \$50,000.00 for the first
license held by a member
organization¹⁵
[\$15,000.00 per license]No
charge for additional licenses
held by a member
organization¹⁵

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* No changes are proposed to the text of the footnotes *, 1, 3, 4 or 15 of the Price List