

Additions underscored
Deletions [bracketed]

New York Stock Exchange

Price List 2016

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Last Updated: [March 2] April 1, 2016

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Equity per Share Charge ³ for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) <u>unless the charge set forth immediately below applies</u> - per transaction.....	\$0.00275
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<u>Equity per Share Charge³ for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) – if the Member Organization has Adding ADV, excluding any liquidity added by a DMM, that is less than 250,000 ADV⁴ on the NYSE during the billing month.....</u>	<u>\$0.0030</u>
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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE, with orders, other than MPL and Non-Displayed Reserve Orders, in securities with a per share price of \$1.00 or more, if the SLP does not qualify for the higher credit set forth below (“SLP Non-Tier”).....

The applicable non-Tier
 Adding Credit, Tier 3
 Adding Credit, Tier 2
 Adding Credit or Tier 1
 Adding Credit

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) of an ADV⁴ of more than 0.20% of NYSE CADV⁴ (for SLPs that are also DMMs and subject to Rule 107B(i)2)(A), more than [0.15%]0.20% after a discount of the percentage for the prior quarter of NYSE CADV[]]⁸ in DMM assigned securities as of the last business day of the prior month^{**} (“SLP Tier 3”)

\$0.0023; or \$0.000[9]6 if a NonDisplayed Reserve Order

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLPProp and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) of an ADV⁴ of more than 0.45% of NYSE CADV (for SLPs that are also DMMs and subject to Rule 107B(i)2)(A), more than [0.30%]0.45% after a discount of the percentage for the prior quarter of NYSE CADV[]]⁸ in DMM assigned securities as of the last business day of the prior month^{**} (“SLP Tier 2”)

\$0.0026; or \$0.00[12]09 if a NonDisplayed

Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) of an ADV⁴ of more than 0.60% of NYSE CADV (for SLPs that are also DMMs and subject to Rule 107B(i)(2)(A), more than 0.60% after a discount of the percentage for the prior quarter of NYSE CADV⁸ in DMM assigned securities as of the last business day of the prior month^{**} (“SLP Tier 1A”))..... \$0.00275; or \$0.00105 if a Non-Displayed Reserve Order.

Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE with orders, other than MPL orders, in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) of an ADV⁴ of more than 0.90% of NYSE CADV (for SLPs that are also DMMs and subject to Rule 107B(i)(2)(A), more than [0.65%] 0.90% after a discount of the percentage for the prior quarter of NYSE CADV[)]⁸ in DMM assigned securities as of the last business day of the prior month^{**} (“SLP Tier 1”))..... \$0.0029; or \$0.001[5]2 if a NonDisplayed Reserve Order

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** SLPs becoming DMMs after the beginning of a billing month would not be eligible until the next full billing month.

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Equipment Fees

Schedule of Annual Charges, unless otherwise noted

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Merged Order Report

Charge per [copy (other than first copy):

Machine Readable Output and Print Image Transmission..... \$3.00 per 1,000 records

H]hard [C]copy 4.50 per 1,000

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* No changes are proposed to the text of the footnotes *, 1, 3, 4 or 8 of the Fee Schedule