

Additions underscored
Deletions [bracketed]

New York Stock Exchange
Price List 2014

Last Updated: [July 28] August 1, 2014

Transaction Fees

Transactions in stocks with a per share stock price of \$1.00 or more

Tier 2 Adding Credit – Equity per Share Credit – per transaction – when adding liquidity to the NYSE if (i) the Member Organization has Customer Electronic Adding ADV⁴ that is at least 1.1% of NYSE CADV, and executes MOC and LOC orders of at least 0.375% of NYSE CADV, (ii) the Member Organization (a) has ADV that adds liquidity to the NYSE during the billing month (“Adding ADV,” which shall exclude any liquidity added by a Designated Market Maker) that is at least 0.8% of NYSE CADV, (b) executes MOC and LOC orders of at least 0.12% of NYSE CADV or executes an ADV during the billing month of at least one million shares in Retail Price Improvements Orders, and (c) adds liquidity to the NYSE as an SLP for all assigned SLP securities in the aggregate (including shares of both an SLP Prop and SLMM of the same member organization) of more than 0.15% of NYSE CADV, or (iii) the Member Organization has Customer Electronic Adding ADV during the billing month that is at least 0.5% of NYSE CADV, executes MOC and LOC orders of at least 0.12% of NYSE CADV, and has Customer Electronic Adding ADV during the billing month that, taken as a percentage of NYSE CADV, is at least equal to the Member Organization’s Customer Electronic Adding ADV during September 2012 as a percentage of consolidated average daily volume in NYSE-listed securities during September 2012 plus 15%.....

\$0.0020; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order

⁴ No change.