

Additions underscored
 Deletions [bracketed]

New York Stock Exchange
 Price List 2014

Last Updated: [March] April 1, 2014

Transaction Fees

* * * * *

Equity per Share Credit – per transaction – for all non-Floor broker transactions that add liquidity to the NYSE, if the Member Organization executes (i) an ADV ⁴ during the billing month of at least 1,000,000 shares in Retail Price Improvement Orders (“RPIs”), and (ii) a Customer Electronic Adding ADV during the billing month of at least 5,000,000 shares	\$0.0019; or the Tier 1 Adding Credit for qualifying member organizations; or \$0.0015 if an MPL Order
--	--

<u>Equity per Share Credit – per transaction – for all transactions of orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3)) that add liquidity to the NYSE</u>	<u>\$0.0030; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order</u>
---	---

* * * * *

Credit Applicable to Supplemental Liquidity Providers

* * * * *

Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) of an ADV ⁴ of more than 0.55% of NYSE CADV ⁸	\$0.0029; or \$0.0024 if a Non-Displayed Reserve Order, \$0.0015 if an MPL Order
---	--

<u>Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE with orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3)) in securities with a per share price of \$1.00 or more</u>	<u>\$0.0030; or the rates above if a Non-Displayed Reserve Order or an MPL Order</u>
--	--

* * * * *