

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2013**

Last Updated: August 1, 2013

Transaction Fees

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

Agency cross trades (i.e., a trade where a Member Organization has customer orders to buy and sell an equivalent amount of the same security) – Equity per Share Credit - per transaction (credited to both sides) [No Charge] \$0.0003

Executions at the close (except market at-the-close (“MOC”) and limit at-the-close (“LOC”) orders) and Floor Broker executions swept into the close unless the charge set forth immediately below applies..... No Charge

Equity per Share Charge³ - per transaction (charged to both sides) – if a Member Organization executes an average daily trading volume (“ADV”)⁴ on the NYSE during the billing month of at least 1,000,000 shares in (i) executions at the close (except MOC and LOC orders), and/or (ii) Floor broker executions swept into the close \$0.0001

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders unless the lower [charge] charges set forth immediately below [applies] apply \$0.00095

¹ Does not apply to transactions by members acting as a Designated Market Maker for own account.

³ Includes shares, rights and warrants.

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders from any Member Organization executing an [average daily trading volume (“ADV”)⁴] ADV⁴ of MOC/LOC activity on the NYSE in that month of at least 0.375% of consolidated average daily volume in NYSE-listed securities during the billing month (“NYSE CADV”) \$0.00055

Equity per Share Charge³ - per transaction (charged to both sides) – for all MOC and LOC orders from any Member Organization executing an ADV⁴ of MOC/LOC activity on the NYSE in that month of at least 0.575% of NYSE CADV \$0.00050

Equity per Share Charge³ – per transaction – for a Floor broker Discretionary e-Quote (“d-Quote”) that removes liquidity unless the charge set forth immediately below applies \$0.0005

Equity per Share Charge³ – per transaction – for all Floor broker d-Quotes that remove liquidity from any Member Organization executing an ADV⁴ of at least 500,000 shares in d-Quotes that remove liquidity from the NYSE in that month..... \$0.0010

Equity per Share Charge³ for all other Floor broker transactions (i.e., when taking liquidity from the NYSE) - per transaction unless the lower charge set forth immediately below applies \$0.00[24]22

Equity per Share Charge³ - per transaction for all other Floor broker transactions (i.e., when taking liquidity from the NYSE) from any Member Organization executing an ADV⁴ in such Floor broker transactions that is at least 10% more than their May 2013 ADV for such Floor broker transactions..... \$0.0020

[Floor Broker executions swept into the close No Charge]
