

Added text underlined; deleted text in [brackets].

NYSE Price List 2009

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Transaction Fees

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

Equity per Share Credit - per transaction - when adding liquidity to the NYSE (displayed and non-displayed).....	\$0.0010
Agency cross trades (i.e., a trade where a Member Organization has customer orders to buy and sell an equivalent amount of the same security)	No Charge
Non-electronic agency transactions between floor brokers in the crowd	No Charge
At the opening or at the opening only orders – per share ²	\$0.0005
Executions at the close (except market at-the-close and limit at-the-close orders).....	No Charge
Equity per Share Charge ³ - per transaction (charged to both sides) – for all market at-the-close (“MOC”) and limit at-the-close orders (“LOC”)	\$0.0007
Equity per share charge ³ – for all MOC and LOC orders from any Member Organization executing an average daily trading volume (“ADV”) ⁴ on the NYSE in that month of at least 130 million shares, including (i) adding liquidity in an ADV of at least 30 million shares and (ii) an ADV of at least 15 million shares total in MOC and LOC orders.....	\$0.0006
Equity per Share Charge ³ - per transaction (charged to both sides) – for all odd lot transactions (including the odd lot portions of partial round lots).....	\$0.0018
Equity per Share Charge ³ for all other transactions (i.e., when taking liquidity from the NYSE) - per transaction.....	\$0.0018
Equity per share charge ³ – for all other transactions (i.e., when taking liquidity from the NYSE) - per transaction for any Member Organization executing an average daily trading volume ADV on the NYSE in that month ⁴ of at least 130 million shares, including (i) adding liquidity in an ADV of at least 30 million shares and (ii) an ADV of at least 15 million shares total in MOC and LOC orders.....	\$0.0017
Routing Fee – per share (except floor brokers)[⁴] ⁵	\$0.0030

¹ Does not apply to transactions by members acting as a Designated Market Maker for own account.

² \$10,000 cap per month per member organization.

³ Includes shares, rights and warrants.

⁴ For purposes of transaction fees and Supplemental Liquidity Provider liquidity credits, ADV calculations exclude early closing days.

[⁴]⁵ Applies to all orders routed from the New York Stock Exchange and executed in another market. The Routing Fee will be billed monthly.

Routing Fee – per share (floor brokers)^{[4]5}..... \$0.0029

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Transactions in stocks with a per share stock price less than \$1.00

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Equity per share charge³ – in any stock with a per share stock price below \$1.00 for all MOC and LOC orders from any Member Organization executing an average daily trading volume (“ADV”) on the NYSE in that month⁴ of at least 130 million shares, including (i) adding liquidity in an ADV of at least 30 million shares and (ii) an ADV of at least 15 million shares total in MOC and LOC orders..... The lesser of (i) 0.3% of the total dollar value of the transaction and (ii) \$0.0006

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Equity per share charge³ – for all other transactions (i.e., when taking liquidity from the NYSE) - per transaction in any stock with a per share stock price below \$1.00 for any Member Organization with an ADV on the NYSE in that month⁴ of 130 million shares, including (i) adding liquidity in an ADV of at least 30 million shares and (ii) an ADV of 15 million shares total in MOC and LOC orders..... The lesser of (i) 0.3% of the total dollar value of the transaction and (ii) \$0.0017

[Footnotes 5-6 are renumbered]

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Credit Applicable to Supplemental Liquidity Providers

Credit per Share - per transaction - for Supplemental Liquidity Providers (“SLPs”) - when adding liquidity to the NYSE, if the SLP does not qualify for any of the higher credits set forth below..... \$0.0010

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE, if the SLP (i) meets the 3% average or more quoting requirement in an assigned security pursuant to Rule 107B and (ii) adds liquidity of an average daily volume (“ADV”) ⁴ of more than 10 million shares and less than or equal to 100 million shares in the applicable month^{[7]8}..... \$0.0015

[Footnotes 8-14 are renumbered]

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^{7]8} In its first calendar month as an SLP, an SLP qualifies for this credit regardless of whether it meets the requirement to provide liquidity with an ADV of more than 10 million shares.