SECURITIES AND EXCHANGE COMMISSION
(Release No. 34-94953; File No. S7-24-89)

May 19, 2022

Joint Industry Plan; Notice of Designation of a Longer Period for Commission Action on the
Fifty-Second Amendment to the Joint Self-Regulatory Organization Plan Governing the
Collection, Consolidation and Dissemination of Quotation and Transaction Information for
Nasdaq-Listed Securities Traded on Exchanges on an Unlisted Trading Privileges Basis

On November 5, 2021,¹ certain participants in the Joint Self-Regulatory Organization
Plan Governing the Collection, Consolidation and Dissemination of Quotation and Transaction
Information for Nasdaq-Listed Securities Traded on Exchanges on an Unlisted Trading
Privileges Basis ("Nasdaq/UTP Plan" or "Plan")² filed with the Securities and Exchange
Commission ("SEC" or "Commission"), pursuant to Section 11A of the Securities Exchange Act
of 1934 ("Act")³ and Rule 608 of Regulation National Market System ("NMS") thereunder,⁴ a

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¹ See Letter from Robert Books, Chair, UTP Operating Committee, to Vanessa
Countryman, Secretary, Commission (Nov. 5, 2021).

² The Plan governs the collection, processing, and dissemination on a consolidated basis of
quotation information and transaction reports in Eligible Securities for its Participants.
The Plan serves as the required transaction reporting plan for its Participants, which is a

³ 15 U.S.C 78k-1.

⁴ 17 CFR 242.608.
The Proposed Amendment was approved and executed by more than the Plan’s required two-thirds of the self-regulatory organizations (“SROs”) that are participants of the UTP Plan. The participants that approved and executed the amendment (the “Participants”) are: Cboe BYX Exchange, Inc., Cboe BZX Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Cboe Exchange, Inc., Nasdaq ISE, LLC, Nasdaq PHLX, Inc., The Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., NYSE Chicago, Inc., and NYSE National, Inc. The other SROs that are participants in the UTP Plan are: Financial Industry Regulatory Authority, Inc., The Investors’ Exchange LLC, Long-Term Stock Exchange, Inc., MEMX LLC, MIAx PEARL, LLC, and Nasdaq BX, Inc.


The 180th day after publication of the Notice for the Proposed Amendment is May 25, 2022. The Commission is extending this 180-day period.

The Commission finds that it is appropriate to designate a longer period within which to conclude proceedings regarding the Proposed Amendment so that it has sufficient time to consider the Proposed Amendment and the comments received. Accordingly, pursuant to Rule 608(b)(2)(i) of Regulation NMS, the Commission designates July 24, 2022, as the date by which the Commission shall conclude the proceedings to determine whether to approve or disapprove the Proposed Amendment or to approve the Proposed Amendment with any changes or subject to any conditions the Commission deems necessary or appropriate (File No. S7-24-89).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.\(^{11}\)

J. Matthew DeLesDernier
Assistant Secretary

\(^{10}\) Id.
\(^{11}\) 17 CFR 200.30-3(a)(85).