The Nasdaq Stock Market LLC Rules

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Options 7 Pricing Schedule

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Section 2 Nasdaq Options Market - Fees and Rebates

The following charges shall apply to the use of the order execution and routing services of The Nasdaq Options Market for all securities.

(1) Fees and Rebates for Execution of Contracts on The Nasdaq Options Market*

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Fees and Rebates to Add Liquidity in Non-Penny Symbols

Customer
9,10,12 ($0.80)

Professional
9,10,12 ($0.80)

Broker-Dealer
$0.45

Firm
$0.45

Non-NOM
Market
$0.45

Maker

NOM Market
Maker 5,6 $0.35/($0.30)

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5 The NOM Market Maker Fee for Adding Liquidity in Non-Penny Symbols will apply unless Participants meet the volume thresholds set forth in this note. Participants that add NOM Market Maker liquidity in Non-Penny Symbols of [10,000 to 14,999]0.05% to 0.07% of total industry customer equity and ETF option ADV contracts per day in a month will be assessed a $0.00 per contract Non-Penny Options Fee for Adding Liquidity in that month. Participants that add NOM Market Maker liquidity in Non-Penny Symbols of [15,000 or more]above 0.07% of total industry customer equity and ETF option ADV contracts per day in a month will receive the Non-Penny Rebate to Add Liquidity for that month instead of paying the Non-Penny Fee for Adding Liquidity.