The Nasdaq Stock Market LLC Rules

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General Equity and Options Rules

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GENERAL 4 REGISTRATION REQUIREMENTS

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Section 1 Registration, Qualification and Continuing Education.

No Change.

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1200. Registration, Qualification and Continuing Education

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1210. Registration Requirements

No Change.

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... Supplementary Material: --

.01 through .12 No Change.

.13 Temporary Extension of the Limited Period for Registered Persons to Function as Principals. Any person who was designated to function as a principal under Supplementary Material .04 of this Rule prior to [January 1, 2021] March 3, 2021, may continue to function as a principal without having successfully passed an appropriate qualification examination until [April 30, 2021] June 30, 2021.

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