

The text of the proposed rule change is below. Proposed new language is underlined; proposed deletions are in brackets.

IM-1002-1. Filing of Misleading Information as to Membership or Registration

No member or person associated with a member shall file [The filing] with Nasdaq [of] information with respect to membership or registration [as a Registered Representative] which is incomplete or inaccurate so as to be misleading, or which could in any way tend to mislead, or [the] fail[ure] to correct such filing after notice thereof[, may be deemed to be conduct inconsistent with just and equitable principles of trade and when discovered may be sufficient cause for appropriate disciplinary action].