

Robert L Mott  
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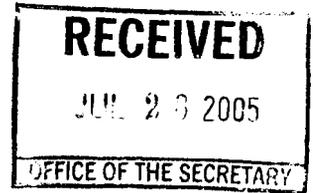
March 24, 2005

Kathleen Maguire  
Securities Exchange Commission  
Division of Market Regulations  
450 Fifth Street, NW.  
Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED

APR 01 2005

DIVISION OF MARKET REGULATION



Dear Ms. Maguire:

My name is Robert L. Mott, and I went to arbitration with the NASD Dispute Resolution the end of 2004. I was extremely concerned with the very inconsistent results that other investors with my same complaints have had in arbitrations. This turned out to be worse than I even expected.

I am the custodian of the trust for my 2 daughters and 6 grandchildren and initiated the proceedings, so they made me fly my daughters to the trial in Ft. Lauderdale. One daughter lives in Colorado, and the other that lives in Virginia, with no intent on cross examining them, only to increase our costs. Even stranger, they never even called one witness for the defendant, Solomon Smith Barney.

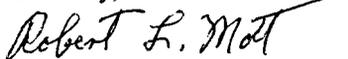
Our consul spent a considerable amount time examining Bernie Ebbers, Jack Grubman, and Scott Sullivan. The testimony heard, the E-mails produced, and our expert witness produced an enormous amount of incriminating evidence against the defendant. In short the scenario was Grubber was acting as an analyst telling SSB's customers to buy a worthless stock while taking over 20 million dollars as an investment banker from the same corporation. Mean while the defense made no attempt to argue these facts and produced no rebuttals or witness.

At the end of this trial our counsel, bystanders and my family were elated with the way things had gone, and were convinced that the 7 million stolen from us was about to be returned. Then we were advised that the decision had gone against us and no explanation would be given why.

I truly believe that this system is corrupt because no one is held accountable for what they do. I also believe when such large amounts of money are involved that the people making the rulings should be held responsible, and even be subject to a lie detector test if asked. I have read in letters from NASD that 54% of their cases were resolved in favor of the customers. I would bet these were the small ones that got an award, then probably only pennies on the dollar.

Hopefully you and your commission will respond to these injustices and help my family and others just like us to have a fair system to represent us in the future and approve the new Rule on award disclosure.

Sincerely,



Robert L. Mott  
Custodian for my  
3 daughters and  
6 grandchildren