Supporting Charts

Chart 1 – Historical Revenue Variances: Budget vs. Actual

Chart 2 – Historical Budget vs. Actual Revenue for the Rate Card Fees

*Note: 2019 Temporary Fee Reduction partially budgeted for the fiscal year; 2021 Temporary Fee Reduction unbudgeted for the fiscal year
Chart 3 – Historical Actual Revenue for the Rate Card Fees as a Percentage of the Total Rate Card Fee Revenue

![Chart showing historical revenue percentages.](chart3)

Chart 4 – Rate Card Fees: Historical Activity Volume Variance Budget to Actual

![Chart showing variance percentages.](chart4)

Chart 5 – Historical Effective Fee Rate Changes

![Chart showing effective fee rate changes.](chart5)
Chart 6 – Historical Expense Variances: Budget vs. Actual

Chart 7 – Historical Budgeted Revenue and Budgeted Expense
Chart 8 – Historical Actual Expenses

Compound Annual Growth Rate of 4.2%

*Excludes Spend for Cloud and Systems Modernization from Designated Funds

Chart 9 – Historical Budgeted Expense by Function

Note: Textured boxes reflect functions that were reclassified between FY 2020 and FY 2021.
Chart 10 – Historical and Projected Revenue without Rate Card Model Compared to Historical and Pro Forma Expenses

Anticipated operating deficits without rate modifications

Chart 11 – Historical and Projected Revenue with Rate Card Model Compared to Historical and Pro Forma Expenses

Anticipated revenue sufficient to fund expenses under proposed Rate Card Model
Chart 12 – Total Reserves vs. Target: Historical and Projected without Rate Card Model

Projected to not maintain reserves at target

Chart 13 – Total Reserves vs. Target: Historical and Projected with Rate Card Model

Projected to maintain reserves at target
Chart 14 – Distribution of Registrants by Range of Total Fees Assessed Under Current Fee Structure Compared to Projected Distribution Under the Rate Card Model (Exclusive of Late Fees and Examination Fees)

<table>
<thead>
<tr>
<th>Registrant Group</th>
<th>Registrants’ Annual Assessment Range</th>
<th>*Total of 1678 Registrants</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group A – Light Blue</td>
<td>$1,000,000+</td>
<td></td>
</tr>
<tr>
<td>Group B – Orange</td>
<td>$500,001-$1,000,000</td>
<td></td>
</tr>
<tr>
<td>Group C – Grey</td>
<td>$100,001 - $500,000</td>
<td></td>
</tr>
<tr>
<td>Group D – Yellow</td>
<td>$10,001 - $100,000</td>
<td></td>
</tr>
<tr>
<td>Group E – Purple</td>
<td>$5,001 - $10,000</td>
<td></td>
</tr>
<tr>
<td>Group F – Green</td>
<td>$2,501 - $5,000</td>
<td></td>
</tr>
<tr>
<td>Group G – Dark Blue</td>
<td>$1,001 - $2,500</td>
<td></td>
</tr>
<tr>
<td>Group H – Brown</td>
<td>$0 to $1,000</td>
<td></td>
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</tbody>
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