New text is underlined;
Deleted text is in [brackets]

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MIAX Options Fee Schedule

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2) Regulatory Fees
   a) No change.
   b) No Change.
   c) Web CRD Fees

   The following fees will be collected and retained by FINRA through the Web Central Registration Depository (CRD\textsuperscript{SM}) registration system for the registration of associated persons of Electronic Exchange Members and Market Maker organizations that are not also FINRA members:

   **GENERAL REGISTRATION FEES:**

   $100.00    FINRA CRD Processing Fee\textsuperscript{16}
   $110.00    FINRA Disclosure Processing Fee\textsuperscript{17} (Form U4, Form US, Form BD [&] and amendments)
   $45.00     FINRA Annual System Processing Fee assessed only during Renewals

   **FINRA Fingerprint Card Processing Fees:**

   $29.50    First card submission (electronic)
   $44.50    First card submission (hard copy)
   $15.00    Second card submission (electronic)
   $30.00    Second card submission (hard copy)
   $29.50    Third card submission (electronic)
   $44.50    Third card submission (hard copy)
   $30.00    FINRA Processing Fee for Fingerprint Results Submitted by Other SROs
   $00.00    MIAX Initial Registration Fee (Individual)
   $00.00    MIAX Annual Individual Renewal Fee
   $00.00    MIAX Transfer Fee
   $00.00    MIAX Termination Fee

\textsuperscript{16} For all Initial, Transfer, Relicense, and Dual Registration Form U4 filings. This fee is assessed when a non-FINRA firm (i.e., a firm that is not a member of FINRA) submits its first Initial, Transfer, Relicense, or Dual Registration Form U4 filing on behalf of a registered person.

\textsuperscript{17} For all registration, transfer, or termination filings with new or amended disclosure information or that require certification, as well as any amendment to disclosure information.
$00.00    MIAx Broker Dealer Registration Fee
$00.00    MIAx Broker Dealer Renewal Fee

Continuing Education Fees:\textsuperscript{18}

$55.00    Continuing Education Session Fee for All Registrations

Qualification Examination Fee:

$120.00    Series 57 Examination Fee

\textsuperscript{18} The session fee will be assessed to each individual who is required to complete the Regulatory Element of the Continuing Education Requirements pursuant to MIAx Rule [1304]903.