Deleted text is [bracketed]. New text is underlined.

Nasdaq ISE Rules

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Supplementary Material to Rule 804

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03. Anti-Internalization - Notwithstanding Rule 804(d)(1) above, quotes and orders entered by Market Makers [using the same member identifier ] will not be executed against quotes and orders entered on the opposite side of the market by the same market maker using the same [member ] market participant identifier, or alternatively, if selected by the member, the same Exchange account identifier or member firm identifier. In such a case, the system will cancel the resting quote or order back to the entering party prior to execution. This functionality shall not apply in any auction or with respect to complex order transactions.

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