

EXHIBIT 2b

**Alphabetical List of Written Comments
Regulatory Notice 14-52**

1. Norman Ashkenas, Fidelity Investments (January 20, 2015)
2. Scott D. Baines, Umpqua Investments, Inc. (January 20, 2015)
3. Jed Bandes, Mutual Trust Company of America Securities (December 5, 2014)
4. David T. Bellaire, Financial Services Institute (January 20, 2015)
5. Hugh D. Berkson, Public Investors Arbitration Bar Association (January 19, 2015)
6. Richard Bryant, Capital Investment Group, Inc. (August 4, 2015)
7. Thomas E. Dannenberg, Hutchinson, Shockey, Erley & Co. (January 20, 2015)
8. Sean Davy, Securities Industry and Financial Markets Association (January 20, 2015)
9. Herbert Diamant, Diamant Investment Corporation (January 9, 2015)
10. Robert A. Eder, (December 5, 2014)
11. Robert A. Eder, (April 1, 2015)
12. Fintegra, LLC. (December 5, 2014)
13. Rick Fleming, Securities and Exchange Commission (January 20, 2015)
14. Larry E. Fondren, DelphX, LLC. (January 7, 2015)
15. Richard Foster, Financial Services Roundtable (January 20, 2015)
16. Micah Hauptman, Consumer Federation of America (January 20, 2015)
17. Andrew Hausman, Interactive Data (January 20, 2015)
18. Scott A. Hayes, Institutional Securities Corporation (January 13, 2015)
19. Vincent Lumia, Morgan Stanley Smith Barney, LLC. (January 20, 2015)
20. John T. Macklin, Brean Capital, LLC. (January 20, 2015)

21. Robert J. McCarthy, Wells Fargo Advisors, LLC. (January 20, 2015)
22. Chris Melton, Coastal Securities (January 16, 2015)
23. Robert A. Muh, Sutter Securities (January 20, 2015)
24. Michael Nicholas, Bond Dealers of America (January 20, 2015)
25. Michael Nichols, Cutter Advisors Group (December 5, 2014)
26. Paige W. Pierce, RW Smith & Associates, LLC (January 21, 2015)
27. Joseph R.V. Romano, Romano Brothers & Co. Wealth Management (January 19, 2015)
28. Alexander I. Rorke, Hilliard Lyons (January 20, 2015)
29. Karin Tex, (January 24, 2015)
30. Bonnie K. Wachtel, Wachtel & Co., Inc. (January 19, 2015)
31. Darren Wasney, Financial Information Forum (January 20, 2015)
32. Kyle C. Wootten, Thomson Reuters (January 16, 2015)