FEES, ASSESSMENTS, CREDITS AND REBATES

A. Trading Permits

[Operative January 2, 2015]

C. Registration Fees

[Operative July 1, 2015]

| Off-Exchange traders | No fee for the first two traders. $500 annual fee for each trader thereafter who is engaged in proprietary securities trading, for an off-Exchange Participant Firm that is solely involved in proprietary securities trading and for which the Exchange(CHX) is DEA. This fee will be pro-rated in the first year of a trader's registration, based on the quarter in which that registration occurs. Annual trader fee cap of $70,000 per firm. |

D. Connection Charges

1. Matching System Port Charges – Logical Connections

A port charge is a logical connection to the Matching System. One port charge is assessed for each Participant give-up that has access through any Participant connection to the Matching System. (A separate port charge is assessed for each main and back-up connection). Port charges are not assessed when Participants connect to the Matching System through Brokerplex. If a Participant Firm executes an average daily volume of 1 million or more provide shares in the Matching System during the month, the Exchange(CHX) will impose a cap on
port charges equal to the greatest number of ports attributable to that Participant Firm in either of the Exchange[CHX]’s data centers. Activity on days when the Exchange closes early are not counted when calculating a Participant Firm’s average daily volume.

| Port charge   | $400/month |

2. Cross Connection Charges – Physical Connections

(a) Unchanged

(b) Customer equipment connection to Exchange[CHX] equipment:

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E. Transaction and Order Processing Fees

1. – 5. Unchanged

6. [CHX ]Routing Services Fees

Executions that result from orders that have been routed away from the Matching System pursuant to the [CHX ]Routing Services shall be subject to the following fees:

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7. Clearing Submissions Fees (all trading sessions)

| All securities priced $1.00/share or more | $0.0030/share fee, up to a maximum of $75 per Clearing Side, as defined under Section E.3(a)(3). |
| All securities priced less than $1.00/share | No charge |

(a) Clearing Submission Fees are charged for away[non-CHX] executed trades for which clearing information is entered by an [CHX-Registered ]Institutional Broker into the Exchange’s systems and submitted to a Qualified Clearing Agency pursuant to Article 21, Rule 6(a) (“Section E.7 execution”).
8. Order Cancellation Fee (Regular Trading Sessions only)

(a) – (b) Unchanged

(c) Order Cancellation Fee Exemption. All Order Cancellation Fees assessed to an Account Symbol in a given month shall be waived if the Average Daily Volume attributable to the Account Symbol for the month is equal to or greater than 100,000 shares from single-sided orders executed at or greater than $1.00/share (“eligible executions”). Eligible executions shall only include executions resulting from single-sided orders submitted to the Matching System, which includes executions -1- within the Matching System and -2- at away markets resulting from orders routed away from the Matching System pursuant to the [CHX]Routing Services. Eligible executions shall not include away executions effected outside of the [CHX]Routing Services.

9. Sub-second Non-displayed Auction Process (“SNAP”) Execution Fees

(a) – (b) Unchanged

(c) Away executions. For all away executions resulting from orders routed away during a SNAP Cycle, the Exchange shall charge the [CHX]Routing Services fee, pursuant to Section E.6 of the Fee Schedule.

L. Receipt of Orders Through [CHX]Connect

For Participants that receive orders through the [CHX]Connect system, the Exchange will charge a $5,000/month base fee, pro-rated based on start date.

M. [CHX]Book Feed

P. Market Data Revenue (“MDR”) Rebates

1. Unchanged

2. Thresholds. MDR will be calculated separately for quotes and trade reports in each Tape A, B and C security, for a total of six MDR pools. If the MDR received
by the Exchange in any given pool exceeds the following thresholds in any given calendar quarter, 50% of such Excess MDR will be payable to Participants in proportion to their respective Eligible Quote or Trade Activity in that pool.

<table>
<thead>
<tr>
<th>SOURCE</th>
<th>TAPE A</th>
<th>TAPE B</th>
<th>TAPE C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quotes</td>
<td>*</td>
<td>$204,000</td>
<td>*</td>
</tr>
<tr>
<td>Trade Reports</td>
<td>**</td>
<td>$36,000</td>
<td>**</td>
</tr>
</tbody>
</table>

* There are no thresholds for Tapes A and C Quotes.

** The MDR thresholds for Tapes A and C Trade Reports shall be equal to the amount of MDR received by the Exchange attributable to trades resulting from “cross” orders, as defined under [CHX] Article 1, Rule 2(a)(2).

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MINOR RULE VIOLATION PLAN

RECOMMENDED FINE SCHEDULE

(Pursuant to [CHX] Article 12, Rule 8(h))

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