

Exhibit 5

Additions are underlined; deleted text is [in brackets]

FEE SCHEDULE OF THE CHICAGO STOCK EXCHANGE, INC.

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FEES AND ASSESSMENTS

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J. Market Regulation and Market Surveillance Fees

Effective [November 1, 2011] January 2, 2013

1. - 4.	Unchanged	
5.	[Fees for FINRA-provided services (paid directly to FINRA)] <u>Fees for FINRA-provided services (paid directly to FINRA) for Participants that are not FINRA Members</u>	
	<u>Education and Examination Fees</u>	
	Continuing Education Regulatory Element	[\$75] <u>\$100</u>
	Series 7 Examination	[\$250] <u>\$290</u>
	[Series 7A Examination (includes NYSE development fee)]	[\$250]
	Series 27 Examination	[\$85] <u>\$115</u>
	<u>Central Registration Depository (“CRD”) Fees</u>	
	[Registration Fee	[\$85]
	Disclosure Processing Fee (U-4, U-5 and any amendments)	\$95

Annual Processing Fee]	\$30]
<u>Each initial Form U4 filed for the registration of a representative or principal</u>	<u>\$100</u>
<u>Additional processing of each initial or amended Form U4, Form U5 or Form BD that includes the initial reporting, amendment, or certification of one or more disclosure events or proceedings</u>	<u>\$110</u>
Termination Fee	\$40
Late Termination Fee	\$80
[Fingerprint Processing:	
Initial Submission	[\$30.25 per card
Second Submission (for a first fingerprint card submission that was determined by the FBI as being illegible)	\$13 per card
Third Submission]	\$30.25 per card]
<u>Processing and posting to the CRD system each set of fingerprints submitted electronically to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of fingerprints</u>	<u>\$15 per card</u>
<u>Processing and posting to the CRD system each set of fingerprints submitted in non-electronic format to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of</u>	<u>\$30 per card</u>

fingerprints

System processing for each \$45
registered representative
and principal

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