

Changes are indicated by underlining additions and [bracketing] deletions.

### Chicago Board Options Exchange, Incorporated

*Fees Schedule - August [1] 12, 2016*

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The following fees will be collected and retained by FINRA via the Web CRD <sup>SM</sup> registration system for the registration of associated persons of Exchange Trading Permit Holder and TPH organizations that are not also FINRA members.					
Web CRD <sup>SM</sup> Fees	General Registration Fees		Fee	Notes	
	FINRA Non-Member Processing Fee		\$100	For all Initial, Transfer, Relicense, Dual registration Form U-4 filings. This fee will also be generated upon re-filing to Web CRD <sup>SM</sup> of CBOE – only registered individuals.	
	FINRA Disclosure Processing Fee (U-4, U-5, Form BD & amendments)		\$110	For all registration, transfer, or termination filings with new or amended disclosure information or that require certification as well as any amendment to disclosure information.	
	FINRA Annual System Processing Fee Assessed only during Renewals		\$45		
	Fingerprint Processing Fees		Fee Per Card		Notes
			Paper	Electronic	
	Initial Submission		\$44.50	\$29.50	
	Second Submission		\$30.00	\$15.00	
	Third Submission		\$44.50	\$29.50	
	Other		\$30.00		Submitted by Trading Permit Holders or TPH organizations on behalf of their associated persons who have had their prints processed through a self-regulatory organization (“SRO”) other than FINRA.
	Continuing Education Fees		Fee		Notes
			[Test Center]	[Web-based]	
	All Registration		[ \$100 ]	\$55	
	Qualification Examination Waiver Request Fee		\$200 per exam waiver request		This fee is assessed to a Trading Permit Holder for each waiver request of a non-FINRA examination (e.g., Series 56 examination) submitted by the Trading Permit Holder.

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