## **EXHIBIT 5**

<u>New language</u> [deleted language]

## Boston Options Exchange Facility Fee Schedule

\* \* \* \* \*

## Sec. 6 Compliance and Regulatory Fees

a. Compliance Examination Assessment

Monthly		
Firms for which BOX assumes examination responsibilities	\$ 1,500	

## b. Regulatory Fees

FINRA fees that will be imposed in connection with participation in Web CRD:

- 1. FINRA CRD Processing Fee \$85.00
- 2. FINRA Disclosure Processing Fee \$95.00
- 3. FINRA Annual System Processing Fee \$30.00
- 4. Fingerprinting Fees vary depending on the submission:
  - A. First card submission \$30.25;
  - B. Second card submission \$13.00;
  - C. Third card submission- \$30.25;

D. Processing fingerprint results where the member had prints processed through a self-regulatory organization other than FINRA: \$13.00;

- [5. Individual CRD registration fee \$60.00
- 6. Individual CRD transfer fee \$40.00

- 7. Individual CRD renewal fee \$50.00
- 8. Individual CRD termination fee \$30.00]
- 5. Options Regulatory Fee \$0.0030 per contract \*
  - <u>\*</u> Effective January 1, 2010, the Options Regulatory Fee will be assessed by BOX to each BOX Options Participant for all options transactions executed or cleared by the BOX Options Participant that are cleared by The Options Clearing Corporation (OCC) in the customer range regardless of the exchange on which the transaction occurs. The Options Regulatory Fee is collected indirectly from BOX Options Participants through their clearing firms by OCC on behalf of BOX. There is a minimum one-cent (\$0.01) charge per trade.

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