



Rule Comments

Proposed Rule on Mutual Fund Disclosure Forms

(SEC File No. S7-06-04)

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Name:

Diana Lindley

Please be aware that all comments we receive will become part of the public record of what we considered in this matter. Please return the comment form to the SEC representative or mail your comments to the following address:

Jonathan G. Katz, Secretary
U.S. Securities and Exchange Commission
450 Fifth Street, N.W.
Washington, D.C. 20549-0609

Comments:

The SEC should require brokers to

disclose all information about fees and expenses, before selling any stocks/bonds to clients.

The forms look very clear. In the point of sale, under "Potential Conflicts of Interest", I would like specific figures (i.e. percentages)* & how much is received by the broker in revenue sharing?

* for brokerage commissions

I believe that my broker at Paite Webster sold me managed funds, for which she made a profit, and I incurred large losses.

I will never use a broker again, and have switched to a financial advisor who charges 1% of my account value.

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