



Rule Comments

Proposed Rule on Mutual Fund Disclosure Forms

(SEC File No. S7-06-04)

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Name:

J. E. FORD

Please be aware that all comments we receive will become part of the public record of what we considered in this matter. Please return the comment form to the SEC representative or mail your comments to the following address:

Jonathan G. Katz, Secretary  
U.S. Securities and Exchange Commission  
450 Fifth Street, N.W.  
Washington, D.C. 20549-0609

Comments:

IF THE DISCLOSURE FORMS YOU SENT ME HAD BEEN IN EFFECT EARLIER I WOULD HAVE SAVED MYSELF OVER \$7,000. ONE OF MY PROBLEMS WOULD HAVE BEEN MUCH HARDER TO SOLVE. THE BROKER I DEALT WITH ONLY GAVE ME TWO (2) OPTIONS WHEN I ROLLED MY RETIREMENT FUND OVER TO HIS COMPANY. I WANTED A FIXED INCOME ACCOUNT AND WAS TOLD THAT IT WAS NOT AVAILABLE. HE LIED. THERE IS NOT MUCH THAT CAN BE DONE FOR DISHONEST BEHAVIOR. THANK FOR WHAT YOU ARE PLANNING TO DO. I THINK THAT IT IS A GOOD START.

J. E. Ford