

Exhibit N-3: Exempt Nasdaq Issues by Registration Type (as of 8/9/05)

ISSUE_SYMBOL	REGISTRATION_TYPE	ISSUER_NAME	ISSUE_TYPE
ADRU	12(g)(2)(B)	BLDRS Index Funds Trust	Other Securities
ADRE	12(g)(2)(B)	BLDRS Index Funds Trust	Other Securities
ADRD	12(g)(2)(B)	BLDRS Index Funds Trust	Other Securities
ADRA	12(g)(2)(B)	BLDRS Index Funds Trust	Other Securities
ONEQ	12(g)(2)(B)	Fidelity Nasdaq Composite Index Tracking Stock	Other Securities
CUBA	12(g)(2)(B)	Herzfeld Caribbean Basin Fund, Inc. (The)	Common Stock or Equivalent
JHFT	12(g)(2)(B)	John Hancock Financial Trends Fund, Inc.	Common Stock or Equivalent
QQQQ	12(g)(2)(B)	Nasdaq-100 Trust, Series I	Other Securities
PCAP	12(g)(2)(B)	Patriot Capital Funding, Inc.	Common Stock or Equivalent
FUND	12(g)(2)(B)	Royce Focus Trust, Inc.	Common Stock or Equivalent
PEFX	12(g)(2)(B)	S&P 500 Protected Equity Fund, Inc. (The)	Common Stock or Equivalent
WSCC	12(g)(2)(B)	Waterside Capital Corporation	Common Stock or Equivalent
ANAT	12(g)(2)(G)	American National Insurance Company	Common Stock or Equivalent
AFBK	12(i)	Albemarle First Bank (Charlottesville, VA)	Common Stock or Equivalent
AFBKW	12(i)	Albemarle First Bank (Charlottesville, VA)	Warrant
BOMK	12(i)	Bank McKenney VA	Common Stock or Equivalent
BKOR	12(i)	Bank of Oak Ridge	Common Stock or Equivalent
BCAR	12(i)	Bank of the Carolinas (NC)	Common Stock or Equivalent
BORD	12(i)	Boardwalk Bank	Common Stock or Equivalent
BORDW	12(i)	Boardwalk Bank	Warrant
CAPX	12(i)	Capital Crossing Bank	Common Stock or Equivalent
CSNC	12(i)	Cardinal State Bank	Common Stock or Equivalent
CART	12(i)	Carolina Trust Bank	Common Stock or Equivalent
CALGZ	12(i)	Citibank (West), FSB	Other Securities
ESBK	12(i)	Elmira Savings Bank, FSB (The)	Common Stock or Equivalent
ALLB	12(i)	Greater Delaware Valley Savings Bank	Common Stock or Equivalent
HIFS	12(i)	Hingham Institution for Savings	Common Stock or Equivalent
LBBB	12(i)	Liberty Bell Bank (NJ)	Common Stock or Equivalent
MFLR	12(i)	Mayflower Co-operative Bank	Common Stock or Equivalent
MNRK	12(i)	Monarch Bank	Common Stock or Equivalent
PBCT	12(i)	People's Bank	Common Stock or Equivalent
PFBC	12(i)	Preferred Bank	Common Stock or Equivalent
RCBK	12(i)	River City Bank (Mechanicsville, VA)	Common Stock or Equivalent
SBNY	12(i)	Signature Bank	Common Stock or Equivalent
STNJ	12(i)	Sterling Bank	Common Stock or Equivalent
SUFB	12(i)	SuffolkFirst Bank	Common Stock or Equivalent
WAIN	12(i)	Wainwright Bank & Trust Company	Common Stock or Equivalent
YAVY	12(i)	Yadkin Valley Bank and Trust Company	Common Stock or Equivalent
AAUK	12g3-2(b)	Anglo American plc	Common Stock or Equivalent

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CSKKY	12g3-2(b)	CSK Corporation	Common Stock or Equivalent
DAIEY	12g3-2(b)	Dai'ei, Inc.	Common Stock or Equivalent
FUJIY	12g3-2(b)	Fuji Photo Film Co., Ltd.	Common Stock or Equivalent
HSVLY	12g3-2(b)	Highveld Steel and Vanadium Corporation Limited	Common Stock or Equivalent
KNBWY	12g3-2(b)	Kirin Brewery Company, Limited	Common Stock or Equivalent
NSANY	12g3-2(b)	Nissan Motor Co., Ltd.	Common Stock or Equivalent
REXMY	12g3-2(b)	Rexam, Plc	Common Stock or Equivalent
STOSY	12g3-2(b)	Santos, Ltd.	Common Stock or Equivalent
SANYY	12g3-2(b)	Sanyo Electric Co., Ltd.	Common Stock or Equivalent
VELCF	12g3-2(b)	Velcro Industries N.V.	Common Stock or Equivalent