The NASDAQ Stock Market

Notification Form: Change in Company Record

The NASDAQ Stock Market® requires notification when a NASDAQ-listed company requests a change of its name, a change in the par value or title of its securities, or a voluntary change in its trading symbol. NASDAQ also assesses a $2,500 non-refundable fee for each such change. Please see the attached Record-Keeping Fee - Payment Form for further information regarding the fee submission.

This form and fee must be sent no later than ten days after the change; however, NASDAQ recommends submitting this information up to 2 days prior to the change. Please note: When a company changes its name, it is also required to execute a new Listing Agreement.1

COMPANY NAME

ADDRESS OF PRINCIPAL EXECUTIVE OFFICES

CITY/STATE/ZIP CODE

TELEPHONE FAX E-MAIL

ISSUE SYMBOL TITLE OF SECURITY PAR VALUE

Issue Listed on: ☐ The NASDAQ National Market® ☐ The NASDAQ SmallCap MarketSM

Record-Keeping Change

NEW COMPANY NAME

NEW TITLE OF SECURITY NEW PAR VALUE

CUSIP/CINS NUMBER: CURRENT NEW

CUSIP Service Bureau can be reached at 212.438.6565.

EFFECTIVE DATE OF THE CHANGE

Companies, which intend to change their trading symbol, should indicate if a symbol has already been reserved: ☐ Yes ☐ No ☐ N/A

If yes, please indicate new symbol:

If no, NASDAQ recommends a symbol be reserved prior to completion of this form; however, it is not required. A company may submit a request to reserve a new symbol for trading on The NASDAQ Stock Market by using NASDAQ's on-line symbol reservation form.2 All choices must comprise four alpha characters. A Symbol Reservation Confirmation will be sent upon the reservation of a new symbol.

Effective date of charter amendment (where applicable):

Date of shareholder approval of change, if required:

Payment of $2,500 fee was made by: ☐ Check ☐ Wire

OFFICER’S NAME TITLE

OFFICER’S SIGNATURE DATE

NASDAQ
Return this Form via email to nasdaqreorgs@nasdaq.com or by fax to:

The NASDAQ Stock Market LLC
Market Integrity
80 Merritt Boulevard
Trumbull, CT 06611
Telephone: 203.375.9609
Fax: 203.502.5480


The NASDAQ Listing Agreement is available upon request from your NASDAQ Director or Listing Qualifications Analyst, or may be printed from www.NASDAQ.com. Please attach a copy of this Notification Form to the new Listing Agreement.

Record-Keeping Fee – Payment Form

When a company listed on The NASDAQ Stock Market® submits a request for a change in its name, a change in the par value or title of its securities, or a voluntary change in its trading symbol, a $2,500 non-refundable fee must be submitted concurrently with the request. All fees are payable to The NASDAQ Stock Market LLC.

Payment By Check: All checks must be sent under separate cover to the address provided below. Please complete this form and submit it with your check payment.

COMPANY NAME

ADDRESS

REMITTER NAME
(If not the same as the company)

CHECK ENCLOSED IN THE AMOUNT OF $ CHECK NO.

Please mail this form and your check payment by courier/overnight to:

The NASDAQ Stock Market LLC
Market Integrity
W-1555
c/o Mellon Bank
701 Market Street
Room 3490
Philadelphia, PA 19106

Payment By Wire: The company may also remit its fees by wire. Submission of this form is not required. Please use the following instructions and include the specific reference information provided below when transmitting your payment.

By Federal Reserve Wire
The NASDAQ Stock Market LLC
Bank Name: PNC Bank
Bank Address: Philadelphia, PA
SWIFT Number: PNCCUS33
ABA Number: 031000053
Beneficiary: NASDAQ
Account Number: 5300401669
Reference: Provide company name, symbol, and note that the fee is for a record-keeping change.

By American Clearing House
The NASDAQ Stock Market LLC
Bank Name: PNC Bank
Bank Address: Washington, DC
SWIFT Number: PNCCUS33
ABA Number: 054000030
Beneficiary: NASDAQ
Account Number: 5300401669
Reference: Provide company name, symbol and note that the fee is for a record-keeping change.
Instructions for a Change in the Company Record

Pursuant to Rules 4510 and 4520, a NASDAQ company is required to submit a $2,500 non-refundable fee in connection with a request for a change in its name, the par value or title of its securities trading on The NASDAQ Stock Market, or a voluntary change in its trading symbol.

In order to assure prompt review of the request for a change in the company's record, please follow the instructions below:

1. Please submit your payment of the $2,500 fee according to the directions provided in the above form.

2. Please send your original Notification Form: Change in Company Record to the following address. If payment was made by check, please include a copy of the Record-Keeping - Payment Form. If payment was made by wire, please provide either a copy of the wire confirmation or a cover letter, indicating the name of the company's bank and when payment was made.

The NASDAQ Stock Market LLC
Market Integrity
80 Merritt Boulevard
Trumbull, CT 06611
Telephone: 203.375.9609
Fax: 203.502.5480
E-mail: nasdaqreorgs@nasdaq.com

To expedite your request, it is suggested that the material be sent via e-mail or fax.

The request for a change and payment should be submitted no later than 10 days after the change; however, NASDAQ recommends that the submissions be made no later than 2 days prior to the change.

Please contact the Market Integrity Department at 203.375.9609 with any questions regarding this process.