

**The NASDAQ Stock Market**

# Interpretative Request Fee - Payment Form

All companies submitting requests for interpretations of The NASDAQ Stock Market® listing rules must submit a \$2,000 non-refundable fee (regular) or \$10,000 non-refundable fee (expedited) with their requests. All fees are payable to The NASDAQ Stock Market LLC. Please see the attached instructions regarding the submission of a request.

**Payment By Check:** All checks must be sent under separate cover to the address provided below. Please complete this form and submit it with your check payment.

COMPANY NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

ADDRESS \_\_\_\_\_

REMITTER NAME \_\_\_\_\_  
(IF NOT THE SAME AS THE COMPANY)

CHECK ENCLOSED IN THE AMOUNT OF \$ \_\_\_\_\_ CHECK NO. \_\_\_\_\_

DATE OF LETTER REQUESTING AN INTERPRETATION \_\_\_\_\_

Please mail this form and your check payment by courier/overnight to:

The NASDAQ Stock Market LLC  
Listing Qualifications  
W-0435  
c/o Mellon Bank  
701 Market Street  
Room 3490  
Philadelphia, PA 19106

**Payment By Wire:** The company may also remit its fees by wire. Submission of this form is not required. Please use the following instructions and include the specific reference information provided below when transmitting your payment.

By Federal Reserve Wire  
The NASDAQ Stock Market LLC  
Bank Name: PNC Bank  
Bank Address: Philadelphia, PA  
SWIFT Number: PNCCUS33  
ABA Number: 031000053  
Beneficiary: NASDAQ  
Account Number: 5300401669  
Reference: Provide company name,  
symbol, and note that the fees are for an  
interpretative request.

By American Clearing House  
The NASDAQ Stock Market LLC  
Bank Name: PNC Bank  
Bank Address: Washington, DC  
SWIFT Number: PNCCUS33  
ABA Number: 054000030  
Beneficiary: NASDAQ  
Account Number: 5300401669  
Reference: Provide company name,  
symbol and note that the fees are for an  
interpretative request.

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# Instructions for Interpretative Requests

Pursuant to Rule 4550, a company is required to submit to NASDAQ a non-refundable fee in connection with a request for a written interpretation of the listing rules. The fee to be submitted is generally \$2,000. A response to such a request generally will be provided within four weeks from the date NASDAQ receives all information necessary to respond to the request.

However, if the company requests a response by a specific date that is less than four weeks, but at least one week, after the date NASDAQ receives all information necessary to respond to the request, then the fee is \$10,000. NASDAQ will attempt to respond by a specific date that is less than four weeks, but at least one week, after the date NASDAQ receives all information necessary to respond to the request. Please indicate in your letter requesting the interpretation the date by which a response is desired.

In order to assure prompt review of requests for written interpretations, please follow the instructions below:

1. Please submit your request for interpretation to the following address. Please indicate in your request whether payment was made by check or wire and the amount paid.

The NASDAQ Stock Market LLC  
Listing Qualifications  
9600 Blackwell Road  
Rockville, MD 20850  
Fax: 301.978.4028

The request must identify the NASDAQ-listed company and all parties involved and provide all facts relevant to the determination. If the company seeks a response on an expedited basis, please specify the date by which the response is desired. The request should be directed to the attention of the Company's Listing Qualifications Analyst.

2. Please submit your payment according to the directions provided on the above form.

NASDAQ does not impose fees for requests related to initial listing on The NASDAQ Stock Market or requests for a financial viability exception pursuant Marketplace Rule 4350(i)(2).

Please contact the Listing Qualifications Department at 301.978.8008 with any questions regarding this process or with general questions regarding NASDAQ's Marketplace Rules.

The logo for NASDAQ, featuring the word "NASDAQ" in a bold, stylized, blocky font with a textured, metallic appearance.