UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 94737 / April 18, 2022

WHISTLEBLOWER AWARD PROCEEDING
File No. 2022-49

In the Matter of the Claims for an Award

in connection with

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Redacted

Notice of Covered Action Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff ("CRS") issued a Preliminary Determination recommending that ("Claimant") receive a whistleblower award in the amount of percent (%%) of the monetary sanctions collected, or to be collected, in the above-referenced Covered Action. Based on current collections, this would result in an award of approximately $45,000.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.1

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In reaching this determination, the Commission considered that (1) Claimant provided new information that prompted Commission staff to open an investigation into the alleged misconduct; (2) Claimant participated in a voluntary interview with Commission staff; (3) the charges brought by the Commission were based in part on conduct that was the subject of the information provided by Claimant; and (4) Claimant suffered hardships as a result of the underlying misconduct.

Accordingly, it is hereby ORDERED that Claimant shall receive an award equal to *** percent (***%) of the monetary sanctions collected, or to be collected, in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary