DTCC DATA REPOSITORY (U.S.) LLC (DDR) - USER GUIDE

This Form constitutes Annex I of the User Agreement and Annex I of the Third Party Submitter Authorization Supplement (where applicable)
It is used to onboard Asset Manager and Multi-Managed Client Accounts to DDR

Which tabs do I fill out?

Is your Asset Manager account already onboarded?	Input required:
Yes and all information is the same	DDR Multi-Managed Client Accts
Yes but some information has changed	DDR Asset Manager Acct and DDR Multi-Managed Client Accts
No	DDR Asset Manager Acct and DDR Multi-Managed Client Accts
Are you using a Third Party to submit to DDR on your behalf?	**Input required:**
Yes	DDR Third Party Submitter
No	No additional input required
Are you onboarding for Canada Reporting?	**Input required:**
Yes	DDR Canada - Local Counterpart
No	No additional input required

When you have filled out the applicable tabs, you must complete the DDR Electronic Signature tab. The form will not be accepted without this.

Below are examples of how the information should be input and why it is required. Please populate your information in the DDR Asset Manager Acct, DDR Multi-Managed Client Acct, and DDR Third Party Submitter tabs as applicable.

EXAMPLE DDR ASSET MANAGER ACCT INPUT. All information on the form below applies to the Asset Manager account, not the client accounts.

Total Number of Accounts 1 — THIS IS THE TOTAL NUMBER OF ACCOUNTS BEING ONBOARDED. IT IS AUTOMATICALLY POPULATED FROM THE INPUT.

REPORTING JURISDICTION	PRODUCTION AND TEST SET UP	ENTITY INFORMATION				OPERATIONS CONTACT - Required to escalate any issues and to provide general communications			BILLING CONTACT INFORMATION - Required to send invoices								
REPORTING JURISDICTION Mandatory Please select reporting jurisdiction from the drop down list	ACCESS TO ENVIRONMENTS Mandatory Please select whether production, test or both environments are required from the drop down list. Please note that a maximum of FIVE accounts can be set up with a test environment	LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each legal entity being onboarded	LEGAL ENTITY NAME Mandatory Please enter the legal entity name	PRINCIPAL PLACE OF BUSINESS Mandatory Please select country from drop down menu	EXISTING DTCC ID Optional Please enter if the account has an existing 8 character DTCC ID (e.g. 0000105X)	OPERATIONS CONTACT NAME Mandatory Please enter first and last name	OPERATIONS EMAIL ADDRESS Mandatory Please enter email address	OPERATIONS TELEPHONE NUMBER Mandatory Please input with country dialling code	BILLING ASSET MANAGER FAMILY NAME Mandatory If more than one Asset Manager account is being onboarded, please enter the "Legal Entity Name" of ONE account. If only one account is being onboarded, this will default.	BILLING CONTACT NAME Mandatory Please enter first and last name	BILLING EMAIL ADDRESS Mandatory Please enter email address	BILLING MAILING ADDRESS Mandatory Please enter mailing address	BILLING CITY Mandatory Please enter city	BILLING STATE OR COUNTY Mandatory if applicable Please enter state or county	BILLING PROVINCE Mandatory if applicable Please enter Province	BILLING ZIP OR POSTAL CODE Mandatory Please enter ZIP or Postal Code	BILLING COUNTRY OR ORIGIN Mandatory Please select from drop down menu
USA (CFTC)	Production	12345678901234000000	Asset Manager 123	UNITED STATES OF AMERICA	0000547P	John Smith	john.smith@assetmanager.com	12128554000	Asset Manager 123	John Jones	billing@assetmanager.com	55 Water Street	New York	NY		10041	UNITED KINGDOM
THIS ALLOWS DDR TO SET UP THE SUBMISSION AND REPORTING PERMISSIONS AT THE APPROPRIATE JURISDICTION LEVEL	THIS ALLOWS DDR TO SET UP PRODUCTION AND TEST ACCOUNTS AS REQUIRED. THE MAXIMUM NUMBER OF TEST ACCOUNTS THAT CAN BE SET UP IS FIVE.	THE LEI IS A REGULATOR-DRIVEN SDR REQUIREMENT. USUALLY, JUST ONE ACCOUNT IS ONBOARDED FOR AN ASSET MANAGER, BUT MULTIPLE ACCOUNTS CAN BE ONBOARDED. IF SO, PLEASE ENTER THE INFORMATION FOR EACH ACCOUNT ON A NEW ROW. IF YOU DO NOT HAVE AN LEI, YOU CAN SIGN UP FOR ONE AT THE GLOBAL MARKETS ENTITY IDENTIFIER (GMEI) WEBSITE: https://www.gmeiutility.org/	THIS ENSURES THE LEI MATCHES THE FULL ENTITY NAME AND WILL ELIMINATE ANY INPUT/TYPO ERRORS	THIS IS NEEDED BY DDR FOR OFAC SCREENING CHECKS	THIS IS USED TO LINK A TRADE INFORMATION WAREHOUSE (TIW) ACCOUNT WITH DDR	THIS IS USED TO ESCALATE ANY ISSUES AND TO PROVIDE GENERAL COMMUNICATIONS	THIS IS USED TO ESCALATE ANY ISSUES AND TO PROVIDE GENERAL COMMUNICATIONS	THIS IS USED TO ESCALATE ANY ISSUES AND TO PROVIDE GENERAL COMMUNICATIONS	THIS IS NEEDED TO IDENTIFY THE GROUP OF ACCOUNTS FOR BILLING PURPOSES. IF ONLY ONE ACCOUNT IS BEING ONBOARDED, THIS FIELD WILL DEFAULT	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES	THIS IS REQUIRED TO SEND INVOICES

EXAMPLE DDR MULTI-MANAGED CLIENT ACCTS INPUT. All information on the form below applies to the Multi-Managed Client accounts, not the Asset Manager account.

Total Number of Accounts 1 — THIS IS THE TOTAL NUMBER OF ACCOUNTS BEING ONBOARDED. IT IS AUTOMATICALLY POPULATED FROM THE INPUT.

REPORTING JURISDICTION	PRODUCTION AND TEST SET UP	ENTITY INFORMATION				EXECUTION AGENT
REPORTING JURISDICTION Mandatory Please select reporting jurisdiction from the drop down list	ACCESS TO ENVIRONMENTS Mandatory Please select whether production, test or both environments are required from the drop down list. Please note that a maximum of FIVE accounts can be set up with a test environment	LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each client account	LEGAL ENTITY NAME Mandatory Please enter the legal entity name for each client account	ACCOUNT NAME Mandatory if Appliable Mandatory if more than one account shares the same LEI. In this case, please enter the Account Name. Otherwise, please leave blank.	PRINCIPAL PLACE OF BUSINESS Mandatory Please select country from drop down menu	EXECUTION AGENT LEI Mandatory Please enter the LEI of the Execution Agent for each client account.
USA (CFTC)	Production	987654321098765000	Fund123	AM123Fund123	UNITED STATES OF AMERICA	12345678901234000000
THIS ALLOWS DDR TO SET UP THE SUBMISSION AND REPORTING PERMISSIONS AT THE APPROPRIATE JURISDICTION LEVEL	THIS ALLOWS DDR TO SET UP PRODUCTION AND TEST ACCOUNTS AS REQUIRED	THE LEI IS A REGULATOR-DRIVEN SDR REQUIREMENT. PLEASE ENTER THE INFORMATION FOR EACH CLIENT ACCOUNT ON A NEW ROW. IF YOU DO NOT HAVE AN LEI, YOU CAN SIGN UP FOR ONE AT THE GLOBAL MARKETS ENTITY IDENTIFIER (GMEI) WEBSITE: https://www.gmeiutility.org/	THIS ENSURES THE LEI MATCHES THE FULL ENTITY NAME AND WILL ELIMINATE ANY INPUT/TYPO ERRORS	THIS IS NEEDED IF MORE THAN ONE ACCOUNT SHARES AN LEI TO ENSURE THERE IS A UNIQUE COMBINATION OF LEI AND ACCOUNT IN THE REPOSITORY	THIS IS NEEDED BY DDR FOR OFAC SCREENING CHECKS	THIS IS REQUIRED TO CONFIGURE THE CORRECT SUBMISSION AND REPORTING PERMISSIONS. ASSET MANAGERS WILL SEE ONLY THOSE POSITIONS WITHIN MULTI-MANAGED CLIENT ACCOUNTS WHERE THEY ARE NAMED AS EXECUTION AGENT.

Once this set up above is complete, the Asset Manager will be able to submit on behalf of the client accounts listed, and view the positions within those accounts where it is named as Execution Agent.
If you require any other type of access to client positions (e.g. acting as a custodian), or require changes to any existing accounts, please contact your relationship manager directly.

EXAMPLE DDR THIRD PARTY SUBMITTER AUTHORIZATION INPUT (only if using a third party to submit on your behalf)

Total Number of Accounts 1 — THIS IS THE TOTAL NUMBER OF ACCOUNTS BEING ONBOARDED. IT IS AUTOMATICALLY POPULATED FROM THE INPUT.

ENTITY INFORMATION		THIRD PARTY SUBMITTER INFORMATION				
LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each account	LEGAL ENTITY NAME Mandatory Please enter the Legal Entity Name for each account	RATES - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for Rates	CREDIT - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for Credit	EQUITY - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for Equity	FX - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for FX	COMMODITY - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for Commodity
987654321098765000	Fund123	Submitter123	Submitter456			
THIS IS NEEDED TO SHOW WHICH ACCOUNT IS AUTHORIZED FOR A THIRD PARTY SUBMITTER	THIS IS NEEDED TO SHOW WHICH ACCOUNT IS AUTHORIZED FOR A THIRD PARTY SUBMITTER	THIS IS NEEDED TO SET UP AND AUTHORIZE THE THIRD PARTY SUBMITTER TO EACH ACCOUNT	THIS IS NEEDED TO SET UP AND AUTHORIZE THE THIRD PARTY SUBMITTER TO EACH ACCOUNT	THIS IS NEEDED TO SET UP AND AUTHORIZE THE THIRD PARTY SUBMITTER TO EACH ACCOUNT	THIS IS NEEDED TO SET UP AND AUTHORIZE THE THIRD PARTY SUBMITTER TO EACH ACCOUNT	THIS IS NEEDED TO SET UP AND AUTHORIZE THE THIRD PARTY SUBMITTER TO EACH ACCOUNT

EXAMPLE DDR - CANADA ONLY - ADVICE OF LOCAL COUNTERPARTY STATUS

Please fill in this information if onboarding multi-managed client accounts for Canada. Local counterparty status is defined as per the Canadian Regulations.
Please see Ontario Securities Commission, Autorité des Marchés Financiers and Manitoba Securities Commission Rule 91-507, Trade Repositories and Derivatives Data Reporting, for additional information.
Please note that the ENTITY INFORMATION will be pre-populated if you have selected that you will be onboarding multi-managed client accounts for Canada or for USA and Canada in the REPORTING JURISDICTION column.
The information applies to the Multi-Managed Client Accounts, not the Asset Manager account.

ENTITY INFORMATION				CANADA
LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each legal entity being onboarded	LEGAL ENTITY NAME Mandatory Please enter the legal entity name	ACCOUNT NAME Mandatory if Appliable Mandatory if more than one account shares the same LEI. In this case, please enter the Account Name. Otherwise, please leave blank.	PRINCIPAL PLACE OF BUSINESS Mandatory Please select country from drop down menu	CANADIAN PROVINCE / TERRITORY Mandatory If you are onboarding the account for Canadian Regulatory Reporting, please select the province(s) / territory(ies) for which you are a LOCAL COUNTERPARTY, as defined by the Canadian Regulations.
1236543210987650000	Fund123	AM123Fund123	CANADA	Quebec
THE LEI IS A REGULATOR-DRIVEN SDR REQUIREMENT. IF YOU DO NOT HAVE AN LEI, YOU CAN SIGN UP FOR ONE AT THE GLOBAL MARKETS ENTITY IDENTIFIER (GMEI) WEBSITE: https://www.gmeiutility.org/	THIS ENSURES THE LEI MATCHES THE FULL ENTITY NAME AND WILL ELIMINATE ANY INPUT/TYPO ERRORS	THIS IS NEEDED IF MORE THAN ONE ACCOUNT SHARES AN LEI TO ENSURE THERE IS A UNIQUE COMBINATION OF LEI AND ACCOUNT IN THE REPOSITORY	THIS IS NEEDED BY DDR FOR OFAC SCREENING CHECKS	THIS IS A REGULATORY REQUIREMENT. PLEASE SEE ONTARIO SECURITIES COMMISSION, AUTORITE DES MARCHES FINANCIERS AND MANITOBA SECURITIES COMMISSION RULE 91-507, TRADE REPOSITORIES AND DERIVATIVES DATA REPORTING, FOR ADDITIONAL INFORMATION

ASSET CLASS INFORMATION	
ASSET CLASS Mandatory Please select Asset Class(es) from drop down menu	SUBMITTING ACCOUNT LEI Conditional Please specify the LEI of the submitting account if it is different than the account being onboarded
ALL	
THIS IS REQUIRED TO CONFIGURE THE CORRECT REPORTING PERMISSIONS	THIS IS REQUIRED TO CONFIGURE THE CORRECT SUBMISSION AND REPORTING PERMISSIONS

THIS FORM IS FOR DTCC DATA REPOSITORY (U.S.) LLC (DDR) - ANNEX 1 OF THE USER AGREEMENT

DDR ASSET MANAGER ACCT INPUT. All information on the form below applies to the Asset Manager accounts, not the Multi-Managed Client accounts.

If you have already onboarded your Asset Manager account, and no information has changed, you do not need to complete this tab. Please fill out the DDR Multi-Managed Client Accts tab only.

Total Number of Accounts 0 This is automatically populated from the input.

		ENTITY INFORMATION				OPERATIONS CONTACT - Required to escalate any issues and to provide general communications			BILLING CONTACT INFORMATION - Required to send invoices									ASSET CLASS INFORMATION	
REPORTING JURISDICTION	PRODUCTION AND TEST SET UP	LEGAL ENTITY IDENTIFIER (LEI)	LEGAL ENTITY NAME	PRINCIPAL PLACE OF BUSINESS	EXISTING DTCC ID	OPERATIONS CONTACT NAME	OPERATIONS EMAIL ADDRESS	OPERATIONS TELEPHONE NUMBER	BILLING ASSET MANAGER FAMILY NAME	BILLING CONTACT NAME	BILLING EMAIL ADDRESS	BILLING MAILING ADDRESS	BILLING CITY	BILLING STATE OR COUNTY	BILLING PROVINCE	BILLING ZIP OR POSTAL CODE	BILLING COUNTRY OR ORIGIN	ASSET CLASS	SUBMITTING ACCOUNT LEI
Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Optional	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory if applicable	Mandatory if applicable	Mandatory	Mandatory	Mandatory	Conditional
Please select reporting jurisdiction from the drop down list.	Please select whether production, test or both environments are required from the drop down list. Please note that a maximum of **FIVE** accounts can be set up with a test environment.	Please enter the LEI for each legal entity being onboarded	Please enter the legal entity name	Please select country from drop down menu	Please enter if the account has an existing 8 character DTCC ID (e.g. 0000101R)	Please enter first and last name	Please enter email address	Please input with country dialing code	If more than one Asset Manager account is being onboarded, please enter the "Legal Entity Name" of ONE account. If only one account is being onboarded, this will default.	Please enter first and last name	Please enter email address	Please enter mailing address	Please enter city	Please enter state or county	Please enter Province	Please enter ZIP or Postal Code	Please select from drop down menu	Please select Asset Class(es) from drop down menu	Please specify the LEI of the submitting account if it is different than the account being onboarded
									0										

THIS FORM IS FOR DTCC DATA REPOSITORY (U.S.) LLC (DDR) - ANNEX 1 OF THE USER AGREEMENT

DDR MULTI-MANAGED CLIENT ACCTS INPUT. All information on the form below applies to the Multi-Managed Client accounts, not the Asset Manager account.

Total Number of Accounts **0** This is automatically populated from the input.

REPORTING JURISDICTION	PRODUCTION AND TEST SET UP	ENTITY INFORMATION				EXECUTION AGENT
REPORTING JURISDICTION	ACCESS TO ENVIRONMENTS	LEGAL ENTITY IDENTIFIER (LEI)	LEGAL ENTITY NAME	ACCOUNT NAME	PRINCIPAL PLACE OF BUSINESS	EXECUTION AGENT LEI
Mandatory	Mandatory	Mandatory	Mandatory	Mandatory if Appliable	Mandatory	Mandatory
Please select reporting jurisdiction from the drop down list	Please select whether production, test or both environments are required from the drop down list. Please note that a maximum of **FIVE** accounts can be set up with a test environment.	Please enter the LEI for each client account	Please enter the legal entity name for each client account	**Mandatory** if more than one account shares the same LEI. In this case, please enter the Account Name. **Otherwise**, please leave blank.	Please select country from drop down menu	Please enter the LEI of the Execution Agent for each client account.

THIS FORM IS FOR DTCC DATA REPOSITORY (U.S.) LLC (DDR) - ANNEX 1 OF THE THIRD PARTY SUBMITTER AUTHORIZATION SUPPLEMENT

DDR THIRD PARTY SUBMITTER AUTHORIZATION INPUT

Only complete this tab if you are using a Third Party to submit on your behalf.

Total Number of Accounts 0 This is automatically populated from the input.

ENTITY INFORMATION		THIRD PARTY SUBMITTER INFORMATION				
LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each account	LEGAL ENTITY NAME Mandatory Please enter the Legal Entity Name for each account	RATES - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for **Rates**	CREDIT - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for **Credit**	EQUITY - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for **Equity**	FX - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for **FX**	COMMODITY - THIRD PARTY SUBMITTER LEGAL NAME Optional Please enter the Legal Entity Name of the Third Party Submitter for **Commodity**

THIS FORM IS FOR DTCC DATA REPOSITORY (U.S.) LLC (DDR) - ANNEX 1 OF THE USER AGREEMENT

DDR - CANADA ONLY - ADVICE OF LOCAL COUNTERPARTY STATUS

Only complete this tab if you are onobarding for Canadian Regulators

The Entity Information applies to the Multi-Managed Client Accounts and will be prepopulated. Please fill in the CANADA column only.

ENTITY INFORMATION				CANADA
LEGAL ENTITY IDENTIFIER (LEI) Mandatory Please enter the LEI for each legal entity being onboarded	LEGAL ENTITY NAME Mandatory Please enter the legal entity name	ACCOUNT NAME Mandatory if Appliable Mandatory if more than one account shares the same LEI. In this case, please enter the Account Name. Otherwise, please leave blank.	PRINCIPAL PLACE OF BUSINESS Mandatory Please select country from drop down menu	CANADIAN PROVINCE / TERRITORY Mandatory If you are onboarding the account for Canadian Regulatory Reporting, please select the province(s) / territory(ies) for which you are a LOCAL COUNTERPARTY, as defined by the Canadian Regulations.
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
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N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
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N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
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N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	
N/A	N/A	N/A	N/A	

Legal Notice: **Populating the "Name of person submitting this form" field below creates a signature as described in Section 11 (Signatures) of the Important Legal Information section of the Company's Operating Procedures. In addition, by executing and submitting this form, the undersigned agrees that all entities named in this Annex 1 are subject to and bound by the terms of any existing Long Term Commitment, as that term is defined on the applicable fee schedule, in effect for the billing parent named in column L as of the date such Long Term Commitment commenced.**

Total number of multi-managed client accounts being onboarded	0
Total number of accounts with Third Party Submitters	0
Name of company, partnership etc. who has executed the User Agreement	
Authorized role/capacity of the company who has executed the User Agreement	
Address of company, including country, who has executed the User Agreement	
Name of person submitting this form	
Title of person submitting this form	
Date of this form submission	