

**FINAL ORDER-THIS PRELIMINARY DETERMINATION BECAME THE FINAL
ORDER OF THE COMMISSION ON NOVEMBER 7, 2016,
PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action: Redacted

Redacted

Redacted

PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission received a whistleblower award claim from Redacted (“Claimant”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated this claim in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff has preliminarily determined to recommend that the Commission deny the award claim for the reasons explained below.

The Claimant failed to submit -- information about a possible securities law violation in the form and manner required by Exchange Act Rules 21F-9(a)-(b). As a result, the Claimant does not qualify as a whistleblower, *see* Exchange Act Section 21F(a)(6) and Rule 21F-2(a)(1), and is not eligible for an award, *see* Exchange Act Rule 21F-2(a)(2) and Rule 21F-(8)(a).¹

By: Claims Review Staff

Date: September 7, 2016

¹ The record demonstrates that the Claimant first approached the Commission after the effective date of the Commission’s whistleblower program rules; accordingly, the exception in Exchange Act Rule 21F-9(d) to the form-and-manner requirements for both whistleblower status and award eligibility is not applicable here.