

**FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER  
OF THE COMMISSION WITH RESPECT TO  
CLAIMANT #8 AND CLAIMANT #9 ON SEPTEMBER 26, 2016  
PURSUANT TO RULE 21F-10(f) OF THE EXCHANGE ACT**

Notice of Covered Action: Redacted

Redacted

Redacted

**PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF**

In response to the above-referenced Notice of Covered Action, the Securities and Exchange Commission received nine timely whistleblower award claims. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated each of these claims in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff sets forth its Preliminary Determination for each award claimant as follows.

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Notice of Covered Action:

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Redacted (Claimant #8)

Redacted (Claimant #9)

The Claims Review Staff has preliminarily determined to recommend that the Commission deny awards to Redacted Claimant #8, and Claimant #9. The basis for this determination is as follows:

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Notice of Covered Action: Redacted

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None of Redacted Claimant #8's, nor Claimant #9's information led to the successful enforcement of the referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because none of the information that the claimants submitted:

1. caused the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or
2. significantly contributed to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

By: Claims Review Staff

Date: July 27, 2016