On September 26, 2014, the Financial Industry Regulatory Authority, Inc. (FINRA) filed a notice containing an application (Application) with the Securities and Exchange Commission (Commission), pursuant to Rule 19h-1 under the Securities Exchange Act of 1934 (Exchange Act), on behalf of Guggenheim Securities, LLC (Guggenheim), seeking consent for Steven Rattner (Rattner), a person subject to a statutory disqualification, to associate with Guggenheim as an investment banking representative, subject to the terms and conditions set forth in the Application. On March 14, 2016, the Division of Trading and Markets took action, pursuant to delegated authority, 17 CFR 200.30-3(a)(4), approving the Application, subject to conditions specified in the order.1

Pursuant to Commission Rule of Practice 431,2 the delegated action was brought before the Commission for review on March 15, 2016, and in accordance with Rule of Practice 431, the March 14, 2016 order approving the Application was automatically stayed. The Commission notified FINRA, Guggenheim and Rattner of the stay on March 16, 2016.

2 17 C.F.R. § 201.431.
On March 30, 2016, FINRA withdrew its Application.

In light of the foregoing, IT IS ORDERED that the March 14, 2016 order approving the Application be vacated, and the stay of such order is no longer in effect.

By the Commission.

Brent J. Fields
Secretary