

FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION WITH RESPECT TO CLAIMANTS #1-8, #10, #12, AND #14-16 ON SEPTEMBER 11, 2015 PURSUANT TO RULE 21F-10(f) OF THE EXCHANGE ACT

Redacted

Notice of Covered Action Redacted

PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission received sixteen whistleblower award claims from the following individuals (collectively, the “Claimants”):

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|-------------|-----------------|
| Claimant 1 | (“Claimant 1”) |
| Claimant 2 | (“Claimant 2”) |
| Claimant 3 | (“Claimant 3”) |
| Claimant 4 | (“Claimant 4”) |
| Claimant 5 | (“Claimant 5”) |
| Claimant 6 | (“Claimant 6”) |
| Claimant 7 | (“Claimant 7”) |
| Claimant 8 | (“Claimant 8”) |
| Claimant 9 | (“Claimant 9”) |
| Claimant 10 | (“Claimant 10”) |
| Claimant 11 | (“Claimant 11”) |
| Claimant 12 | (“Claimant 12”) |
| Claimant 13 | (“Claimant 13”) |
| Claimant 14 | (“Claimant 14”) |
| Claimant 15 | (“Claimant 15”) |
| Claimant 16 | (“Claimant 16”) |

Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated the above claims in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff has preliminarily determined to recommend that the Commission deny all of the above award claims. The basis for this determination is as follows:

1. None of the Claimants provided information that led to the successful enforcement of the above-referenced Notice of Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because they did not:

- a. cause the Commission to (i) commence an examination, (ii) open or

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reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or

- b. significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

2. The information provided by Claimant 10 was largely copied from a third party's court filing, which would disqualify it from being "original information" as defined in Rule 21F-4(b)(iii) of the Exchange Act because it was not derived from Claimant 10's "independent knowledge" or "independent analysis."

3. Claimants 15 and 16 failed to submit their claims for award within ninety (90) days of the above-referenced Notice of Covered Action, as required under Rule 21F-10(a) of the Exchange Act.

By: Claims Review Staff

Date: July 13, 2015