

**FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION WITH RESPECT TO CLAIMANTS #1-8, #10, and #12 ON SEPTEMBER 11, 2015 PURSUANT TO RULE 21F-10(f) OF THE EXCHANGE ACT**

Redacted

Notice of Covered Action Redacted

**PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission (the “Commission”) received twelve (12) whistleblower award claims from the following individuals (collectively, the “Claimants”):

Claimant 1	(“Claimant 1”)
Claimant 2	(“Claimant 2”)
Claimant 3	(“Claimant 3”)
Claimant 4	(“Claimant 4”)
Claimant 5	(“Claimant 5”)
Claimant 6	(“Claimant 6”)
Claimant 7	(“Claimant 7”)
Claimant 8	(“Claimant 8”)
Claimant 9	(“Claimant 9”)
Claimant 10	(“Claimant 10”)
Claimant 11	(“Claimant 11”)
Claimant 12	(“Claimant 12”)

Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated the above claims in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff has preliminarily determined to recommend that the Commission deny all of the above award claims. The basis for this determination is as follows:

1. None of the Claimants provided information that led to the successful enforcement by the Commission of a federal court or administrative action with respect to the above referenced Notice of Covered Action, as required by Rules 21F-3(a)(3) and 21F-4(c) of the Exchange Act because they did not:
  - a. cause the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or
  - b. significantly contribute to the success of a Commission judicial or

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administrative enforcement action under Rule 21F-4(c) (2) of the Exchange Act.

2. The information provided by Claimant #10 does not qualify as original information as defined in Rule 21F-4(b)(1) of the Exchange Act because the information was largely copied from a third party's publicly-available court filings.
3. Claimant #12 failed to submit a claim for award within ninety (90) days of the above-referenced Notice of Covered Action, as required under Rule 21F-10(a) of the Exchange Act.

By: Claims Review Staff

Date: July 13, 2015