ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

On March 9 and March 25, 2015, the Claims Review Staff (“CRS”) issued Preliminary Determinations related to Notices of Covered Action (the “Covered Actions”) and related actions.1 The Preliminary Determinations recommended that (“Claimant”) receive a whistleblower award because Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Actions and related actions pursuant to Section 21F(b)(1) of the Securities Exchange Act of 1934 (the “Exchange Act”), 15 U.S.C. § 78u-6(b)(1), and Rules 21F-3(a) & (b) thereunder, 17 C.F.R. § 240.21F-3(a) & (b).2

1 The related actions are: Redacted

2 The CRS also recommended that an award application from a second claimant in connection with Covered Action Redacted should be denied because the second claimant did not
Further, the CRS recommended that such award be set in the amount of 

Redacted

of the monetary sanctions collected or to be collected in the Covered Actions and related actions, which will equal payment of more than $3,000,000. In arriving at this recommendation, the CRS considered the factors set forth in Rule 21F-6, 17 C.F.R. § 240.21F-6, in relation to the facts and circumstances of Claimant’s application.³

On March 25, 2015, Claimant provided written notice to the Commission of Claimant’s decision not to contest the Preliminary Determinations within the 60-day deadline set out in Rule 21F-10(e) promulgated under the Exchange Act, 17 C.F.R. § 240.21F-10(e). Accordingly, pursuant to Rule 21F-10(f), 17 C.F.R. § 240.21F-10(f), the Preliminary Determinations became the Proposed Final Determination of the Claims Review Staff.

Upon due consideration under Rules 21F-10(f) and (h), 17 C.F.R. § 240.21F-10(f) and (h), and for the reasons set forth in the Preliminary Determinations, it is hereby ORDERED that Claimant shall receive 

Redacted

of the monetary sanctions collected and to be collected in the Covered Actions and related actions.

By the Commission.

Brent J. Fields
Secretary

³ Among these factors, due consideration was given to Claimant’s unreasonable delay in reporting the illegal conduct to the Commission, although we have not applied this factor as severely here as we otherwise might have done had the delay occurred entirely after the whistleblower award program was established by the Dodd-Frank Wall Street Reform and Consumer Protection Act.