

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

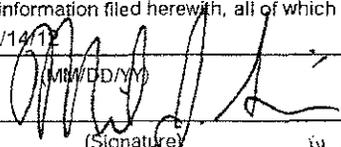
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION  AMENDMENT

1. State the name of the applicant: Topaz Exchange, LLC
  2. Provide the applicant's primary street address (Do not use a P.O. Box):  
60 Broad St.  
New York, NY 10004
  3. Provide the applicant's mailing address (if different):  
n/a
  4. Provide the applicant's business telephone and facsimile number:  
212-943-2400 212-509-3955  
(Telephone) (Facsimile)
  5. Provide the name, title, and telephone number of a contact employee:  
Laura Clare, Assistant General Counsel, 212-897-0294  
(Name) (Title) (Telephone Number)
  6. Provide the name and address of counsel for the applicant:  
Michael J. Simon  
60 Broad St.  
New York, NY 10004
  7. Provide the date applicant's fiscal year ends: December 31, 2012
  8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_
- If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
- (a) Date (MM/DD/YY): 05/30/12 (b) State/Country of formation: Delaware
- (c) Statute under which applicant was organized: Delaware Limited Liability Company Act, 6 Del. C Section 18-101, et. seq.

**EXECUTION:** The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 12/14/12 Topaz Exchange, LLC  
(MM/DD/YY) (Name of applicant)

By:  Michael J. Simon, General Counsel  
(Signature) (Printed Name and Title)

Subscribed and sworn before me this 14th day of December 2012 by Tracy Tang  
(Month) (Year) (Notary Public)

My Commission expires 3/11/14 County of Kings State of New York

*This page must always be completed in full with original, manual signature and notarization.  
Affix notary stamp or seal where applicable.*

**TRACY TANG**  
 Notary Public, State of New York  
 No. 02TA6070913  
 Qualified in Kings County  
 Commission Expires March 11, 2014  
