

To: Topaz Exchange, LLC.

From: Clearing Member \_\_\_\_\_  
OCC Clearing Number \_\_\_\_\_

Re: Electronic Access Member \_\_\_\_\_  
ELECTRONIC ACCESS MEMBER LETTER OF CLEARING AUTHORIZATION

In connection with the qualification of the above-referenced Member as an Electronic Access Member on the Topaz Exchange, LLC ("Topaz" or "Exchange"), the undersigned Clearing Member accepts financial responsibility for all Exchange Transactions made by the above-named Member.

This letter shall be deemed a letter of guarantee, letter of authorization, or notice of consent pursuant to Exchange Rules and may be relied upon by Exchange. This Notice of Consent shall be subject to Exchange Rules, as amended from time to time. This letter of clearing authorization, once filed with Topaz, will remain effective until written notification of its revocation is filed with the Exchange by the Clearing Member. So long as a written notification is received by 5:00 p.m. EST, the revocation shall become effective the next trade day or such later date as is specified on the written notification. If the Clearing Member wants a revocation to be effective the next trade day, it shall also contact the Topaz Help Desk at (877) 473-9989 to confirm receipt of the notification. A revocation shall in no way relieve a Clearing Member of responsibility for transactions guaranteed prior to the effective date of such revocation.

Accepted and agreed to this date: \_\_\_\_\_

Clearing Member Representative (Signature) \_\_\_\_\_

Clearing Member Representative (Print Name) \_\_\_\_\_

Clearing Member Representative (Title) \_\_\_\_\_