

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 70293 / August 30, 2013

WHISTLEBLOWER AWARD PROCEEDING
File No. 2013-3

In the Matter of the Claim for Related Action Award

in connection with

United States v. Andrey C. Hicks, 1:11-cr-10407-PBS
(D. Mass. 2011) (Related Action)

SEC v. Andrey C. Hicks and Locust Offshore Management, LLC,
1:11-cv-11888-RGS (D. Mass. 2011) (SEC Action)

ORDER DETERMINING RELATED ACTION WHISTLEBLOWER AWARD CLAIMS

On June 12, 2013, the Commission ordered that Claimant#1, Claimant#2 and Claimant#3 each receive an award of five percent (5%) of the monetary sanctions collected in *SEC v. Andrey C. Hicks and Locust Offshore Management, LLC*, 1:11-cv-11888-RGS (D. Mass. 2011) (the "SEC Action").¹ On June 28, 2013, the Claims Review Staff issued a Preliminary Determination recommending that Claimant#1, Claimant#2, and Claimant#3 each also be allowed an award in the amount of five percent (5%) of the monetary sanctions collected in *United States v. Hicks*, 1:11-cr-10407-PBS (D. Mass. 2011), a criminal-law enforcement matter brought by the U.S. Department of Justice that is a related action to the SEC Action within the meaning of Rule 21F-3(b) under the Securities Exchange Act of 1934, 17 C.F.R. § 240.21F-3(b) (the "Related Action").

After giving their whistleblower award claims due consideration under Rules 21F-11(f)

¹ See In the Matter of the Claim for Award in Connection with *SEC v. Andrey C. Hicks and Locust Offshore Management, LLC*, (Release No. 69749) (June 12, 2013) (available at: <http://www.sec.gov/rules/other/2013/34-69749.pdf>). This award was made in connection with Notice of Covered Action 2012-27.

and (h), 17 C.F.R. § 240.21F-11(f) and (h), it is hereby ORDERED that Claimant#1
Claimant#2 and Claimant#3 shall each receive an award of five percent (5%) of
the monetary sanctions collected in the Related Action, including any monetary sanctions
collected after the date of this Order.

By the Commission.

Elizabeth M. Murphy
Secretary