



SPONSORED ACCESS INFORMATION

SPONSORING MEMBER INFORMATION			BUSINESS CONTACT	
Firm:			Name:	
Address:			Email:	
City:	State:	Zip:	Phone:	Fax:

SPONSORED PARTICIPANT INFORMATION			SPONSORED PARTICIPANT BILLING ADDRESS		
Firm:			Firm:		
Address:			Address:		
City:	State:	Zip:	City:	State:	Zip:
BUSINESS CONTACT			BILLING CONTACT		
Name:			Name:		
Email:			Email:		
Phone:	Fax:		Phone:	Fax:	

PORT SELECTION	
Port Type:	<input type="checkbox"/> FIX <input type="checkbox"/> TOP <input type="checkbox"/> PITCH <input type="checkbox"/> FAST PITCH <input type="checkbox"/> MULTICAST PITCH <input type="checkbox"/> DROP <input type="checkbox"/> FIX DROP
Quantity:	_____
Is the Sponsored Participant allowed to enter Short Sales?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Is the Sponsored Participant allowed to enter Intermarket Sweep Orders?	<input type="checkbox"/> Yes <input type="checkbox"/> No
What is the maximum dollar value per order?	\$ _____

CONNECTIVITY	
Which connectivity option will the Sponsored Participant use? (check one)	
<input type="checkbox"/> VPN	<input type="checkbox"/> Cross Connect
<input type="checkbox"/> Extranet: _____	<input type="checkbox"/> Other: _____
What type of connection(s) is the Sponsored Participant requesting? <input type="checkbox"/> Test <input type="checkbox"/> Production	

CLEARING	
Please list the MPID/DTCC # that the Sponsored Participant is permitted to use: _____ / _____	

AUTHORIZATION	
This form is governed by all terms and conditions set forth in the BATS Y-Exchange, Inc. Sponsored Participant Agreement. BATS provides a best effort attempt to cancel all open orders from a Member/Sponsored Participant upon a communications disconnect. There is no guarantee that the automatic cancel feature provided by BATS will be error free or will operate without interruption. By signing below, you agree and acknowledge that BATS is not liable or responsible in any way for any orders which may fail to be cancelled using the automatic cancel feature. <i>Members may call the BATS Trade Desk at 913.815.7001 to verbally request that all open orders be cancelled or check the status of open orders.</i>	
Sponsoring Member Firm:	Date:
Print Name / Title:	Signature: