

HMS SECURITIES, INC.

MEMBER: NATIONAL ASSOCIATION OF SECURITIES DEALERS • SECURITIES INVESTOR PROTECTION CORP.

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

NOV 3 1999

DIVISION OF MARKET REGULATION

November 1, 1999

United States Securities and
Exchange Commission
Division of Market Regulation
450 Fifth Street, N.W.
Washington, DC 20549-1002

Re: Certification Pursuant to SEC Rule 15b7-3T

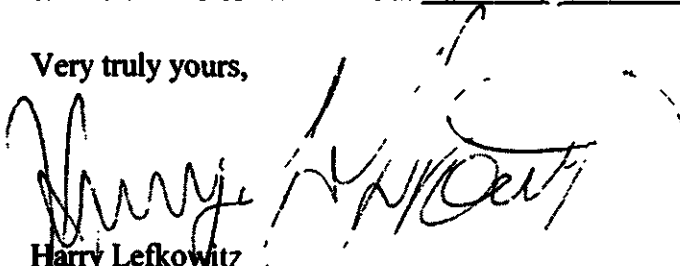
Dear Sir/Madam:

This letter is to advise you that HMS Securities, Inc. has a reasonable basis for believing that it is now Year 2000 ready and has completed all applicable milestones (including items defined in the SEC's Year 2000 Readiness Rule 15b7-3T). Subject to routine development and maintenance not related to the Year 2000 effort, all Year 2000 work is completed other than ongoing testing, and there are no known remaining systems, programming changes or checks to be made other than ongoing monitoring of outside providers or services (e.g., service bureaus, clearing brokers, market data services, etc.).

We understand that as a part of the NASD's ongoing monitoring of member organizations regarding Year 2000 compliance, we may be contacted periodically to reconfirm our Year 2000 compliance status. Should we undertake any system changes that would change our compliance status as indicated above, we will promptly notify the NASD.

For information about our firms readiness, please contact Franklin I. Ogele, Esq. at (201) 782-0200, extension 216 or via e-mail at franklin.o@attain.com.

Very truly yours,



Harry Lefkowitz
President

Firm CRD File Number 005940

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cc: Robert D. Vilaro
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